

Indiana Risk Assessment System

Indiana Risk Assessment System

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- *IRAS Policy*

Policy for Indiana Risk Assessment System

Adopted on April 23, 2010 by the Board of Directors of the Judicial Conference of Indiana

Indiana Risk Assessment System

The Indiana Risk Assessment System (IRAS) is made up of five separate instruments to be used at specific points in the criminal justice process to identify an offender’s risk to reoffend and criminogenic needs. These instruments are used at distinct points in the criminal justice system to promote and assist with developing individualized case plans. By appropriately targeting the identified criminogenic needs through individualized case plans, it is anticipated recidivism will be reduced.

The agency with primary case management responsibilities for an offender shall ensure that the appropriate IRAS instruments and reassessments are completed according to the policies in the table below. The assessment/reassessment information is designed to be updated as an offender proceeds through the criminal justice system. Accordingly, if the assessment/reassessment and case plan information has been completed within the last twelve months, departments and programs should be able to access and rely on accurate and timely information for a specific offender when beginning supervision.

When appropriate, agencies are encouraged to use complimentary assessment tools in conjunction with the IRAS to assist in developing individualized case plans when specific risk, need, or responsivity factors (i.e., substance abuse, mental health, sex offense, etc.) are identified by an IRAS tool.

The Indiana Risk Assessment System shall be used as follows:

Instruments	Purpose of Tool and Best Practices Recommendations	Policy when used in Criminal Justice System	Case Plan Required	Reassessment Policy
Pre-trial tool	<p>This tool is designed to assess an offender’s risk for failure to appear and risk to reoffend while on pre-trial supervision.</p> <p>It is a best practice to complete this tool to assist in making pre-trial supervision decisions.</p> <p>This tool may be used to assist a jurisdiction with bond decisions.</p>	<p>The Pre-trial tool shall be completed if the offender is:</p> <p>(1) being considered for pre-trial supervision; or</p> <p>(2) is placed on pre-trial supervision.</p>	None	None

Community Supervision Screener	This tool is designed to quickly identify low-risk offenders and determine if a full risk assessment should be completed.	The Community Supervision Screener shall be completed: (1) with the pre-sentence investigation report; or (2) at intake for community supervision program if a pre-sentence investigation report was not completed. <u>*Exception</u> – If local policy requires a full risk assessment be completed, the screener does not have to be completed for that case.	None	None
Community Supervision tool For use by: Probation, Parole, Community Corrections, Court Alcohol & Drug Programs, and Problem Solving Courts	This tool is designed to assess an offender’s risk to reoffend and identify criminogenic needs to assist in making decisions regarding community supervision. It is a best practice to reassess an offender’s risk and criminogenic needs every twelve months.	The Community Supervision tool shall be completed if the offender scored high risk on the Community Supervision Screener: (1) with the pre-sentence investigation report; or (2) when placed in a community supervision program if a pre-sentence investigation report was not completed.	Yes. Based on reassessment results, case plans should be updated as needed.	Reassessments using the Community Supervision tool shall be completed at least every twelve months. More frequent reassessments may be completed based on local policy.
Prison Intake tool	This tool is designed to assess an offender’s risk to reoffend and identify criminogenic needs to assist in making decisions regarding services. It is a best practice to complete this tool as an offender enters prison.	The Prison Intake tool shall be completed by Department of Correction staff at the facility where the inmate is placed following initial classification.	Yes.	None

Reentry tool	This tool is designed to reassess an offender's risk to reoffend prior to an offender's release from prison.	The Reentry tool shall be completed by Department of Correction staff: (1) as a part of the annual review of each offender; and (2) at four months prior to release to a community supervision program.	Yes. Based on reassessment results, case plans should be updated as needed.	Reassessments using the Reentry tool shall be conducted as a part of the annual offender review. <u>Note:</u> If an offender is placed on community supervision upon release from a DOC facility, the Community Supervision Tool is used to reassess an offender and must be completed within twelve months of the most current Reentry Tool.
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PRE-TRIAL TOOL

- *PRE-TRIAL TOOL*
- *PRE-TRIAL SCORING GUIDE*
- *PRE-TRIAL INTERVIEW GUIDE*

INDIANA RISK ASSESSMENT SYSTEM: PRETRIAL ASSESSMENT TOOL (IRAS-PAT)

Name: _____ Date of Assessment: _____
 Case#: _____ Name of Assessor: _____

Pretrial Items		Verified	
1.	Age at First Arrest 0=33 or older 1=Under 33	<input type="text"/>	<input type="checkbox"/>
2.	Number of Failure-to-Appear Warrants Past 24 Months 0=None 1=One Warrant for FTA 2=Two or More FTA Warrants	<input type="text"/>	<input type="checkbox"/>
3.	Three or more Prior Jail Incarcerations 0=No 1=Yes	<input type="text"/>	<input type="checkbox"/>
4.	Employed at the Time of Arrest 0= Yes, Full-time 1= Yes, Part-time 2= Not Employed	<input type="text"/>	<input type="checkbox"/>
5.	Residential Stability 0=Lived at Current Residence Past Six Months 1=Not Lived at Same Residence	<input type="text"/>	<input type="checkbox"/>
6.	Illegal Drug Use During Past Six Months 0=No 1=Yes	<input type="text"/>	<input type="checkbox"/>
7.	Severe Drug Use Problem 0=No 1=Yes	<input type="text"/>	<input type="checkbox"/>
Total Score:		<input type="text"/>	

Scores	Rating	% of Failures	% of Failure to Appear	% of New Arrest
0-2	Low	5%	5%	0%
3-5	Moderate	18%	12%	7%
6+	High	29%	15%	17%

Please State Reason if Professional Override:

Reason for Override (note: overrides should not be based solely on offense):

Other Areas of Concern. Check all that Apply:

- Low Intelligence*
- Physical Handicap
- Reading and Writing Limitations*
- Mental Health Issues*
- No Desire to Change/Participate in Programs*
- Transportation
- Child Care
- Language
- Ethnicity
- Cultural Barriers
- History of Abuse/Neglect
- Interpersonal Anxiety
- Other _____

*If these items are checked it is strongly recommended that further assessment be conducted to determine level or severity.

Indiana Risk Assessment System – Pretrial Assessment Tool (IRAS-PAT) Scoring Guide

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Introduction

The following scoring guide is intended to be a user's guide for the Indiana Risk Assessment System – Pretrial Assessment Tool (IRAS-PAT), which was developed by the Center for Criminal Justice Research at the University of Cincinnati. The scoring guide will give a brief overview of the assessment tool followed by an item-by-item explanation of scoring criteria.

Overview of the Pretrial Assessment Tool (PAT)

The Pretrial Assessment Tool (PAT) was designed to be quick to administer, but at the same time be predictive of both a defendant's failure-to-appear and risk of violating pretrial probation with a new offense. In keeping with the idea of brevity, the PAT consists of seven risk variables in three dimensions (criminal history, employment and residential stability, and drug use). The tool can be administered in 10-15 minutes and involves a face-to-face interview with the defendant with some questions of the interview being verified through official records (i.e., criminal history variables, employment, etc). Based on scores of these items, cut-points have been constructed to differentiate between groups that are low, medium, and high risk to violate pretrial supervision (Failure-to-Appear or new arrest). The pretrial assessment was designed to seamlessly contribute to more comprehensive assessments at later stages of the criminal justice system (probation, intake classification, and community release following incarceration).

Accuracy of Information

An accurate assessment requires accurate information. There are several sources of information that should be used: official records, offender interview, and collateral sources. Remember the following tips:

- Ask the right questions in the right way – follow the questionnaire.
- Use effective interviewing techniques – probe and allow offender to talk.
- Get the quality and depth of information needed – take your time and do not rush.

- Get collaboration from collateral sources – when in doubt, double check information.
- Score accurately – double check scoring, follow scoring guide, and consult with supervisor if in doubt about an item.

Verification of Information

While verification of assessment items is optimal, there are times when the assessment determination must be calculated and reported without the ability to subsequently verify reported information. The following things should be kept in mind when verifying information:

- Use official records to collect and verify information whenever possible; however, each assessment item does not need to be validated in order for the assessment to be scored. Although verification is optimal, the scoring of the PAT is not dependent upon verification of every item in the assessment.
- Unless verification from official files/employment records directly refutes the information provided, the default scoring should be the information provided by the defendant.

Scoring

Each assessment item is scored using specific criteria that is gathered through the interview and review of the defendant’s file. Based on these criteria, the defendant is assigned a score ranging from 0 to 2 depending on the item. The total score is a summed product of each of the individual items creating a range between 0-9. The higher the score, the greater the risk the individual poses. Individuals who score between 0-2 are classified as low risk defendants, 3-5 as medium risk, and 6-9 as high risk defendants. The following cutoff scores and failure rates have been established for this instrument:

Risk Categories and Expected Rates of Failure by Type of Failure

Score	Risk Level	% Fail-to-Appear	% New Arrest	% Failure (any type)
0-2	Low	5%	0%	5%
3-5	Moderate	12%	7%	18%
6+	High	15%	17%	30%

General Assessment Information

- **Arrest vs. Conviction:** Items which ask about arrests are inquiring to times the offender was taken into custody for a misdemeanor or felony, regardless of the final disposition. There are a variety of reasons why a charge might not become a conviction: dismissal, court diversion in lieu of conviction, etc. For clarification, convictions are findings of guilt by a court which results in a criminal record.

- **Prior:** Items which ask about prior incidents are inquiring about events which occurred *before* the current offense. Current offenses should not be considered when scoring these items. For example, an offender who is being assessed for their third conviction would only have two prior convictions.
- **Current:** Items which ask about current behavior should focus on the last six month period prior to the assessment, unless otherwise stated.
- **Incarceration:** Items which ask about prior incarcerations in a secure correctional facility are inquiring about custodial sentences imposed as punishment upon conviction. Jail incarceration which result from pretrial detention or other non-court issued confinement should not be scored as a yes. Jail stays resulting from probation violations should be counted in this question.

PRETRIAL ASSESSMENT TOOL ITEMS

1. Age of the defendant at first arrest. If unknown, use first conviction.

Score this question as a 1 if the defendant's age at first arrest was 32 or under, and score as 0 if over 32. Note that, as mentioned above, arrests are inquiring to the first time the defendant was taken into custody, for a misdemeanor or felony, regardless of the cases final disposition. If the defendant does not remember or a discrepancy exists, use available official criminal history to determine the age that the defendant was first convicted of either a misdemeanor or a felony. Note: As stated, to score this as a 1 the defendant must have been taken into custody.

2. How many failure-to-appear warrants have been filed in the last 24 months?

The range of scoring for this item is 0 to 2 depending on the number of warrants issued for failure-to-appear. Score this question as a 0 if the defendant has never had a warrant for failure-to-appear for an adult case or if the warrants were over two years from the date of the assessment. Score this question as a 1 if the defendant has a single warrant for failure-to-appear within the last 24 months, and score as a 2 if there are two or more. Only consider *adult criminal cases*.

3. Did the defendant have 3 or more prior jail and/or prison incarcerations?

Score this question as a 0 if the defendant has had 2 or fewer previous jail and/or prison incarcerations including sentences to a secure correctional facility imposed upon sentencing. Score this question as a 1 if the defendant has had three or more jail/prison incarcerations imposed upon sentencing. Jail incarcerations resulting from pretrial detention or other non-court issued confinement should not be considered when scoring this item. Any sentence in which the defendant spent time in jail, regardless of duration, should be counted. Jail sentences that are credited due to pretrial detention should also be counted, even if the credit is for the entirety of the sentence.

4. Was the defendant employed at the time of arrest?

The range of scoring for this item is 0 to 2 depending on the employment status of the defendant at the time of arrest. Score as a 0 if the individual is employed full-time (40 hours or more a week) or is verifiably disabled and unable to work, is retired and existing on a pension, or currently attending a school full time (or part-time schooling co-occurring with a part-time job). If the individual works part-time (between 10 and 39 hours a week) the defendant should receive a score of 1. A score of 2 is assigned to those individuals who work less than 10 hours per week, or if their hours are inconsistent from week-to-week.

Considerations:

- If the individual works seasonally (i.e., construction) or intermittently, but the income covers expenses year round, score this question as 0.
- If the individual is a homemaker or whose job is to maintain the house and care for dependents, score this item as 0.
- If the individual reports being self employed and the assessor is unable to verify this before final determination is required, score this individual as a 2 (i.e., unemployed).

5. Residential Stability: Was the defendant at the current residence for the last 6 months?

Score this item as 0 if the offender has lived at the current residence over the past 6 months. If the individual has lived in multiple residencies or if living arrangements have otherwise not been stable (such as sleeping on a friend's couch, no permanent address, being constantly thrown out of the house, or is homeless), score as a 1.

Specific considerations:

- When a defendant reports living in a family owned housing unit (i.e. parental owned home), determine if the housing situation is a temporary adjustment due to circumstances or if the defendant is maintaining stable residence at that location.
- Clarify that time at residence is when the defendant personally lived in the home, not the duration when the family/parents have owned/lived in the home.

6. Defendant reported drug use in last 6 months.

Score this question as a 1 if the individual self reports *illegal* drug use (not alcohol use or legally prescribed medication taken in accordance to directions) in the last six months, or if there are official records (i.e., urinalysis results) that indicate that such use occurred. Official possession charges can be indicators of use, but inquiries should be made into the specifics of the charge as the assessment item is about drug use in the last 6 months, not drug dealing or drug selling. Score as 0 if there is no evidence of illegal drug use in the last 6 months.

7. Severe drug-related problems in the last 6 months.

This question addresses the severity of drug use related problems of the defendant during the past six months. Those defendants whose illegal drug use has caused serious problems in the past six months (legal, employment, family, health, etc.) or that self-report severe drug related problems should be scored a 1. Individuals who have not used illegal drugs in the past six months or who do not appear to have a severe drug-related problem should be scored a 0. This item can be scored from official information or self report. If self report score from the following question:

“Please rate yourself on a scale of 1 (none/not at all) to 5 (Lots of problems). Drug use has caused problems in my life in the last 6 months.”

An individual who reports a score of 1-3 (i.e., no drug related problems or slight problems) should be assigned an assessment score of 0 for this question, while defendants who self report a 4 or 5 should be given a score of 1.

Considerations:

- If an individual’s official record contradicts the self reported statements, default to use the official record (e.g., urinalysis, drug abuse convictions, etc.). For instance, if the defendant denies use, but official records indicate recent problems due to use, default to the official records.
- Look for indicators of major disruption to the offender’s life due to drug abuse. For example, problems holding or obtaining a job, health or relationship problems due to drug use, or legal problems related to drug use.
- This question should focus on drug *use* problems, and should not be scored for individuals who have family, employment, or legal problems due to the illegal selling or manufacturing of illicit narcotics unless they are also users.

Indiana Risk Assessment System – Pretrial Assessment Tool (IRAS-PAT) Interview Guide

Conducting the Interview

The interview guide is designed to assist the assessor in gathering the information necessary to accurately assess the defendant. It is important to establish rapport with the defendant. While it is recommended that the interview guide be closely followed, the wording of the questions may vary. Here are some tips for conducting the interview:

- Conduct the interview in a relaxed and private environment.
- Explain the purpose of the interview, and stress the need for honesty and complete answers to questions.
- Do not hesitate to use follow-up questions and probe. Examples of follow-up questions:
 - Tell me more. I want to be certain that I understand you.
 - What happened next?
 - Could you explain that further?
 - What do you mean?
 - Can you describe some examples?
 - How did that make you feel?
- Remember what information you are trying to obtain. Develop clear examples and remember there are sometimes differences in perception.
- Remember that the interviewer sets the tone. Be patient and try not to correct or teach.
- Whenever possible, use open-ended questions where the respondent provides his or her opinion and is able to elaborate. For example, “Tell me more about your relationship with....”
- Avoid double-barreled questions where the respondent is asked a combination of questions:
 - “How is your relationship with your mother and father?”
- Avoid biased questions where the respondent is led in a certain direction:
 - “Your relationship with your mother isn’t bad, is it?”

Also remember that the interview is only one source of information. Official records and collateral sources such as family members or other professionals should also be consulted when needed. It is important to corroborate the defendant’s responses whenever possible.

The Following Items are scored for the Pretrial Assessment Tool:

1. Age at First Arrest:
0=33 or older
1=under 33

2. Number of Failure-to-Appear Warrants Past 24 Months:
0=None
1=One Warrant for FTA
2=Two or More FTA Warrants

3. Three or More Prior Jail Incarcerations:
0=No
1=Yes

4. Employed at the Time of Arrest:
0=Yes, Full-time
1=Yes, Part-time
2=Unemployed

5. Residential Stability:
0=Lived at Current Residence Past Six months
1=Not Lived at Same Residence

6. Illegal Drug Use During Past Six Months:
0=No
1=Yes

7. Severe Drug Use Problem:
0=No
1=Yes

Questions:

1. Do you have any prior arrests or convictions: Y N

2. How old were you when you were arrested for the first time? _____

3. What was it for? _____

4. As an adult, have you ever gotten a warrant filed for failure-to-appear to court? Y N

5. How many times? _____

6. How many times during the past two years? _____

7. What happened as a result? _____

8. Have you ever been incarcerated in jail as a result of a conviction? Y N

(Probe to make sure that incarceration was a result of sentencing and not simply pretrial detention).

9. How many times? _____

10. Have you ever been in prison? Y N

11. How many times? _____

12. Were you employed at the time of arrest? Y N

13. If employed, how many hours a week do you work? _____

14. Is work temporary, seasonal ____, or permanent? ____

15. Are you in school? Y N

16. If yes, full-time ____ or part-time? ____

17. If not employed or enrolled in school find out if defendant is retired, disabled, or full-time homemaker. _____

18. How long have you lived at your current residence? _____

19. Is this your primary residence? Y N

If no, please explain: _____

20. Do you own ____ or rent ____?

21. If you have moved within the past six months, what was the reason? _____

22. Have you ever had a problem with drugs other than alcohol? Y N

If yes, please explain: _____

23. Have you ever been arrested for drug use? Y N

If yes, please explain: _____

24. When? _____

25. What drugs have you used? _____

26. What is your drug of choice? _____

27. How often on average do you use? _____

28. When was the last time you used drugs? _____

29. How has your drug use affected other parts of your life? _____

30. For example, has a doctor ever told you to quit using drug? _____

31. Have you ever had problems at work because of drug use? _____

32. How does your family feel about your drug use? _____

(Probe about problems with health, relationships (family and social), legal, etc.)

If I asked you to rate the severity of your drug use problem on a scale from 1 to 5, with 1 being few or no problems and 5 being many problems, what score would you give yourself?

1

2

3

4

5

Few or none

Many problems

THANK YOU.

COMMUNITY SUPERVISION TOOLS

- *COMMUNITY SUPERVISION SCREENING TOOL*
- *COMMUNITY SUPERVISION SCREENING INTERVIEW GUIDE*
 - *COMMUNITY SUPERVISION TOOL*
 - *COMMUNITY SUPERVISION SCORING GUIDE*
 - *COMMUNITY SUPERVISION INTERVIEW GUIDE*
- *COMMUNITY SUPERVISION/SCREENING SELF-REPORT*

**INDIANA RISK ASSESSMENT SYSTEM - COMMUNITY SUPERVISION
SCREENING TOOL (IRAS-CSST)**

Name: _____ Date of Assessment: _____
 Case#: _____ Name of Assessor: _____

1.0 Number of Prior Adult Felony Convictions
 0=None
 1=One or Two
 2=Three or More

2.0 Currently Employed
 0=Yes, Full-time, Disabled, or Retired
 1=Not Employed or Employed Part-time

3.0 Drugs Readily Available in Neighborhood
 0=No, Generally Not Available
 1=Yes, Somewhat Available
 2=Yes, Easily Available

4.0 Criminal Friends
 0=None
 1=Some
 2=Majority

TOTAL SCORE:

Risk Categories for MALES		Risk Categories for FEMALES	
Scores	Rating	Scores	Rating
0-2	Low	0-3	Low
3+	High	4+	High

INDIANA RISK ASSESSMENT SYSTEM - COMMUNITY SUPERVISION SCREENING TOOL (IRAS-CSST) INTERVIEW GUIDE

Conducting the Interview

The interview guide is designed to assist the assessor in gathering the information necessary to accurately assess the offender. It is important to establish rapport with the offender. While it is recommended that the interview guide be closely followed, the wording of the questions may vary. Here are some tips for conducting the interview:

- Conduct the interview in a relaxed and private environment
- Explain the purpose of the interview and stress the need for honesty and complete answers to questions
- Do not hesitate to use follow-up questions and probe. Examples of follow-up questions:
 - Tell me more. I want to be certain that I understand you.
 - What happened next?
 - Could you explain that further?
 - What do you mean?
 - Can you describe some examples?
 - How did that make you feel?
- Remember what information you are trying to obtain. Develop clear examples and remember there are sometimes differences in perception.
- Remember that the interviewer sets the tone. Be patient and try not to correct or teach
- Whenever possible use open-ended questions where the respondent provides his or her opinion and is able to elaborate. For example, “Tell me more about your relationship with....”
- Avoid double-barreled questions where the respondent is asked a combination of questions:
 - “How is your relationship with your mother and father?”
- Avoid biased questions where the respondent is led in a certain direction:
 - “Your relationship with your mother isn’t bad, is it?”

Also remember that the interview is only one source of information. Official records, the self-report questionnaire, and collateral sources such as family members or other professionals should also be consulted. It is important that to corroborate the offenders’ responses whenever possible.

The following items are scored:

- 1.0 Number of Prior Adult Felony Convictions: 0=None 1=One or Two 2=Three or More
- 2.0 Currently Employed: 0=Yes, Full-time, Disabled, or Retired 1=Not Employed or Employed Part-time
- 3.0 Drugs Readily Available in Neighborhood: 0=No, Generally Not Available 1=Yes, Somewhat Available 2=Yes, Easily Available
- 4.0 Criminal Friends: 0=None 1=Some 2=Majority

Questions:

- 1. How many times have you been convicted of a felony(not counting current offense)? _____
- 2. Are you currently employed? ___ No ___ Yes
- 2a. If yes, how many hours do you work? _____
- 2b. If no, why not? _____

- 3. Tell me about the neighborhood you live in. _____

- 3b. How easy would you say it is to acquire drugs in your neighborhood?
Very Easy ___ Somewhat Easy___ Very Difficult___
- 4. How many close friends would you say you have? _____

- 4a. Have any of your close friends been involved in criminal behavior? _____

- 4b. What percentage of your close friends have been in trouble with the law? _____%
- 4c. What kind of things have they been involved in? _____

THANK YOU

INDIANA RISK ASSESSMENT SYSTEM: COMMUNITY SUPERVISION TOOL (IRAS-CST)

Name: _____ Date of Assessment: _____

Case#: _____ Name of Assessor: _____

1.0 CRIMINAL HISTORY:

- 1.1. Most Serious Arrest Under Age 18
0=None
1=Yes, Misdemeanor
2=Yes, Felony
- 1.2. Number of Prior Adult Felony Convictions
0=None
1=One or Two
2=Three or More
- 1.3. Prior Sentence as an Adult to a Jail or Secure Correctional Facility
0=No
1=Yes
- 1.4. Received Official Misconduct while Incarcerated as an Adult
0=No
1=Yes
- 1.5. Prior Sentence to Community Supervision as an Adult
0=No
1=Yes
- 1.6. Community Supervision Ever Been Revoked for Technical Violation as an Adult
0=No
1=Yes

Total Score in Criminal History:

2.0 EDUCATION, EMPLOYMENT, AND FINANCIAL SITUATION:

- 2.1. Highest Education
0= High School Graduate or Higher
1= Less than High School or GED
- 2.2. Ever Suspended or Expelled From School
0=No
1=Yes
- 2.3. Employed at the Time of Arrest
0= Yes
1= No
- 2.4. Currently Employed
0=Yes, Full-time, Disabled, or Retired
1=Not Employed or Employed Part-time
- 2.5. Better Use of Time
0=No, Most Time Structured
1=Yes, Lots of Free Time
- 2.6. Current Financial Situation
0=Good
1=Poor

Total Score in Education, Employment, Financial:

3.0 FAMILY AND SOCIAL SUPPORT

- 3.1. Parents have Criminal Record
0= No
1=Yes
- 3.2. Currently Satisfied with Current Marital or Equivalent Situation
0=Yes
1=No
- 3.3. Emotional and Personal Support Available from Family or Others
0=Very Strong Support
1=None to Strong Support
- 3.4. Level of Satisfaction with Current Level of Support from Family or Others
0=Very Satisfied
1=Not Satisfied
- 3.5. Stability of Residence
0=Stable
1=Not Stable

Total Score on Family and Social Support:

4.0 NEIGHBORHOOD PROBLEMS

- 4.1. High Crime Area
0=No
1=Yes
- 4.2. Drugs Readily Available in Neighborhood
0=No, Generally Not Available
1=Yes, Somewhat Available
2=Yes, Easily Available

Total Score in Neighborhood Problems:

5.0 SUBSTANCE USE

- 5.1. Age First Began Regularly Using Alcohol
0=17 or Older
1=Under Age 17
- 5.2. Longest Period of Abstinence from Alcohol
0=Six Months or Longer
1=Less than Six Months
- 5.3. Ever Used Illegal Drugs
0=No
1=Yes
- 5.4. Drug Use Caused Problems
0=None
1=Past
2=Current
- 5.5. Drug Use Caused Problems with Employment
0=No
1=Yes

Total Score for Substance Use:

6.0 PEER ASSOCIATIONS

- 6.1. Criminal Friends
0=None
1=Some
2=Majority
- 6.2. Contact with Criminal Peers
0=No Contact with Criminal Peers
1=At Risk of Contacting Criminal Peers
2=Contact or Actively Seeks out Criminal Peers
- 6.3. Gang Membership
0=No, Never
1=Yes, but Not Current
2=Yes, Current
- 6.4. Criminal Activities
0=Strong Identification with Prosocial Activities
1=Mixture of Pro- and Antisocial Activities
2=Strong identification with criminal activities

Total Score for Peers: **7.0 CRIMINAL ATTITUDES AND BEHAVIORAL PATTERNS**

For the Following Items Please Rate the Offender:

- 7.1. Criminal Pride
0=No Pride in Criminal Behavior
1=Some Pride
2=A Lot of Pride
- 7.2. Expresses Concern about Others
0=Concerned about Others
1=Limited Concern
2=No Real Concern for Others
- 7.3. Feels Lack of Control Over Events
0=Controls Events
1=Sometimes Lacks Control
2=Generally Lacks Control
- 7.4. Sees No Problem in Telling Lies
0=No
1=Yes
- 7.5. Engages in Risk Taking Behavior
0=Rarely Takes Risks
1=Sometimes Takes Risks
2=Generally Takes Risks
- 7.6. Walks Away from a Fight
0=Yes
1=Sometimes
2=Rarely
- 7.7. Believes in "Do Unto Others Before They Do Unto You"
0=Disagree
1=Sometimes
2=Agrees

Total Score Criminal Attitudes and Behavioral Patterns: **TOTAL SCORE:**

Risk Categories for MALES		Risk Categories for FEMALES	
Scores	Rating	Scores	Rating
0-14	Low	0-14	Low
15-23	Moderate	15-21	Low/Moderate
24-33	High	22-28	Moderate
34+	Very High	29+	High

Professional Override: YES NO

Reason for Override (note: overrides should not be based solely on offense):

Final Level: LOW MODERATE HIGH VERY HIGH

Recommendations:

LOW	Minimum supervision or non-reporting supervision
MODERATE	Regular supervision; programming should be provided for moderate and high need domains
HIGH	Enhanced supervision or residential placement; programming should be provided for moderate and high need domains
VERY HIGH	<p><i>For males:</i> Residential placement preferred or enhanced supervision at highest level; programming should be provided for moderate and high need domains</p> <p><i>For females:</i> Enhanced supervision or residential placement; programming should be provided for moderate and high need domains</p>

Other Areas of Concern. Check all that Apply:

- Low Intelligence*
- Physical Handicap
- Reading and Writing Limitations*
- Mental Health Issues*
- No Desire to Change/Participate in Programs*
- Transportation
- Child Care
- Language
- Ethnicity
- Cultural Barriers
- History of Abuse/Neglect
- Interpersonal Anxiety
- Other _____

*If these items are checked it is strongly recommended that further assessment be conducted to determine level or severity.

Level of Need

HIGH	7-8	5-6	4-5	2-3	5-6	5-8	9-13
MOD	4-6	2-4	2-3	1	3-4	2-4	4-8
LOW	0-3	0-1	0-1	0	0-2	0-1	0-3
	Criminal History	Education, Employment, and Financial Situation	Family and Social Support	Neighborhood Problems	Substance Use	Peer Associations	Criminal Attitudes and Behavioral Patterns

Indiana Risk Assessment System - Community Supervision Tool (IRAS-CST) Scoring Guide

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Introduction

The following scoring guide is intended to be a user's guide for the Indiana Risk Assessment System – Community Supervision Tool (IRAS-CST), which was developed by the Center for Criminal Justice Research at the University of Cincinnati. The scoring guide will give a brief overview of the assessment tool followed by an item-by-item explanation of scoring criteria.

Overview of the Community Supervision Tool (CST)

The Community Supervision Tool (CST) is designed to be used with offenders in the community. This can include those on probation or parole, as well as offenders in residential facilities and other community alternatives (such as day reporting centers). The instrument was designed to be administered through file review and a structured interview, although some items can be obtained through a self-report questionnaire. The CST consists of 35 items across seven domains: 1) Criminal History; 2) Education, Employment, and Financial Situation; 3) Family and Social Support; 4) Neighborhood Problems; 5) Substance Use; 6) Peer Associations; and 7) Criminal Attitudes and Behavioral Patterns.

As elaborated later in this guide, each item is scored using specific criteria and the scores can range from 0 to 2, depending on the item. The final score is a summed product of each of the individual items creating a range between 0 and 49. The higher the score, the greater the risk the individual poses. The following cutoff scores and failure rates have been established for this instrument:

Risk Categories for MALES			Risk Categories of FEMALE		
Scores	Rating	Percent of Failures	Scores	Rating	Percent of Failures
0-14	Low	9%	0-14	Low	7%
15-23	Moderate	34%	15-21	Low/Moderate	23%
24-33	High	58%	22-28	Moderate	40%
34+	Very High	70%	29+	High	50%

Reassessment

Reassessment should be done at set intervals (no earlier than six months from the most recent assessment) or if a critical incident has occurred.

General Assessment Information

Information to score this assessment should be gathered from the interview with the offender and verified with available criminal history reports or other collateral sources. For this reason, the following terms should be clarified:

- **Arrest vs. Conviction:** Items which ask about arrests are inquiring to times the offender was taken into custody or a citation issued, for a misdemeanor or felony, regardless of the final disposition. There are a variety of reasons why a charge might not become a conviction: dismissal, court diversion in lieu of conviction, etc. For clarification, convictions are findings of guilt by a court which result in a criminal record.
- **Prior:** Items which ask about prior incidents are inquiring about events which occurred *before* the current offense. Current offenses should not be considered when scoring these items. For example, an offender who is being assessed for their third conviction would only have two prior convictions.
- **Current:** Items which ask about current behavior should focus on the last six month period prior to the assessment, unless otherwise stated.
- **Incarceration:** Items which ask about prior incarcerations in a secure correctional facility are inquiring about custodial sentences imposed as punishment upon conviction. Jail incarceration which result from pretrial detention or other non-court issued confinement should not be scored as a yes. Jail stays resulting from probation violations should be counted in this question.

Accuracy of Information

An accurate assessment requires accurate information. There are several sources of information that should be used: official records, offender interview, self-report questionnaire, and collateral sources. Remember the following tips:

- Ask the right questions in the right way – follow the questionnaire.
- Use effective interviewing techniques – probe and allow offender to talk.
- Get the quality and depth of information needed – take your time and do not rush.
- Get collaboration from collateral sources – when in doubt, double check information.
- Score accurately – double check scoring, follow scoring guide, and consult with supervisor if in doubt about an item.

1.0 Criminal History Section

The criminal history section examines aspects of the individual's criminal behavior that correlates with recidivism. It is well documented that past criminal behavior is predictive of future criminal behavior. As such, 6 items are included in this domain, with a total possible score of 8.

1.1 Most Serious Arrest Under Age 18:

Score this item as 0 if the offender does not have a prior arrest or charge under the age of 18, or if arrest/charge was for a status offense. Status offenses include: unruly, runaway, truancy, or other charges that if committed by an adult would not result in charges. Score as 1 if the offender was arrested/charged under the age of 18 for a misdemeanor. Score as 2 if arrest/charge under 18 was for a felony. Do not count arrests/charge for status offenses. If the age at arrest is unknown, use the age at first conviction. If youth was arrested/charged but level cannot be determined, score as 1.

1.2 Number of Prior Adult Felony Convictions:

Score this item as 0 if the offender does not have any prior adult felony convictions. Score as a 1 if the offender has one or two felony convictions as an adult. Score as a 2 if the offender has three or more prior adult felony convictions. All felony convictions are tallied regardless of if they were from a single arrest event or multiple events over the course of time. Only the final dispositions are considered for scoring. For example, any prior felony charge which was pled to a misdemeanor would not count. In addition, any alternative to a conviction (i.e., diversion) would not count.

1.3 Prior Sentence as an Adult to a Jail or Secure Correctional Facility:

For the scoring of this item, secure correctional facilities include prison, jail, boot camps, or a community based correctional facility. Score this item as 0 if the offender has never been sentenced to a secure correctional facility. Score as 1 if the offender has previously been sentenced to a secure correctional facility. Only count sentences that are associated with a conviction in an adult court. Jail incarcerations which are a result from pretrial detention or other non-court issued confinement should not be counted when scoring this item.

1.4 Received Official Misconduct while Incarcerated as an Adult:

Score this item as 0 if the offender has never been sentenced to a jail or secure correctional facility or, if sentenced, the offender has never received an official misconduct. Score as 1 if the offender has been incarcerated in a correctional facility as an adult and has received an official misconduct within the facility. To be scored, this sanction must have resulted in a written behavioral report, a hearing officer finding, or a formal finding from a rule infraction board. Do not count informal warnings from correctional staff which did not result in a formal sanction.

1.5 Prior Sentence to Community Supervision as an Adult:

Score this item as 0 if the offender has never been sentenced to any community supervision as an adult. Score as 1 if the offender was placed on community supervision prior to the current offense. This is independent of whether or not the offender violated or was successful under supervision.

1.6 Community Supervision Ever Been Revoked for Technical Violation as an Adult:

Score this item as 0 if the offender has either never been under community supervision or if supervision has never been revoked and the offender sent to prison for a technical violation. Score this item as 1 if the offender ever had community supervision revoked for a technical violation and was sent to prison. This can include probation, community supervision, or parole supervision.

2.0 Education, Employment, and Financial Situation

This section examines the educational attainment of the offender, as well as employment, use of time, and financial situation. Both current and past events are included. There are 6 items in this domain with a total possible score of 6.

2.1 Highest Education:

Score this item as 0 if a high school degree has been earned. Score as 1 if the offender does not have a high school diploma. GED is scored as 1. If the offender has a GED and has completed a minimum of a 2 year degree/certificate score this item as a 0. **Note: This item can be scored from interview or self-report questionnaire. Question 1 on Self-Report.**

2.2 Ever Suspended or Expelled From School:

Score as 0 if the offender has never been expelled or suspended from school. Score as 1 if the offender reports having been expelled or suspended from school. Do not count in-school suspensions. These scores should be assessed regardless of the age of the offender. **Note: This item can be scored from interview or self-report questionnaire. Question 2 on Self-Report.**

2.3 Employed at the Time of Arrest:

Score as 0 if the individual was legally employed (either part-time or full-time) at the time of arrest for the current offense. If the individual is disabled or retired, score as 0. Score as 1 if the offender was unemployed at the time of arrest or working under the table. If self-employed, this must be verified. Note: if the work is temporary and the hours are inconsistent from week to week, score as 1. Only employment should be considered for this item; do not take into account education status or taking care of family/household. **Note: This item can be scored from interview or self-report questionnaire. Question 10 on Self-Report.**

2.4 Currently Employed:

Score as 0 if the offender is currently employed and either: works 30 hours or more a week (full-time), is verifiably disabled and unable to work, is retired and existing on a pension, is a seasonal worker but the income covers expenses year round, is a full-time homemaker whose job it is to maintain the house and care for dependents, or is currently attending school full-time (or part-time schooling co-occurring with a part-time job). If self-employed, this must be verified. If the individual is unemployed or employed less than 30 hours, score as 1. If the work is temporary and the hours are inconsistent from week-to-week, score as 1. *Note: This item can be scored from interview or self-report questionnaire. Question 12 on Self-Report.*

2.5 Better Use of Time:

Score this item as 0 if 50 percent or more of the offender's time is structured. This can include school, work, and structured activities (such as church, taking care of a family member, or other prosocial activities). Score as 1 if less than 50 percent of the offender's time is structured. This item should be scored based on the past 6 months. If in a correctional facility consider the time in the community as well as the offender's use of free time while in the facility. *Note: This item can be scored from interview or self-report questionnaire. Question 14 on Self-Report.*

2.6 Current Financial Situation:

Score as 0 if the offender's current financial situation is stable, if they have some savings, a source of income, and they are able to manage needs and debts reasonably well. Score as 1 if the offender has difficulty paying bills, does not have a reasonable plan for long term management of finances, and is uncertain about how they will get by. This item should be scored based on the past 6 months. *Note: This item can be scored from interview or self-report questionnaire. Question 17 on Self-Report.*

If scored from Self-Report, score 1 or 2 as 1 and 3 or 4 as 0.

3.0 Family and Social Support

The family and social support section examines the family and social support of the offender. Research has shown that an individual's family and social support can influence the probability of future criminal behavior. Individuals with family and social support systems who are supportive or tolerant of criminal behavior are more likely to engage in criminal behavior. Alternatively, individuals who have a strong family or social support network that condemns criminal activities reduces the likelihood that an individual will engage in future criminal behavior. There are 5 items in this domain with a total possible score of 5.

3.1 Parents have a Criminal Record:

For the purpose of this assessment parent includes any individual(s) that the offender identifies as a parental figure, or who had a significant role in raising them. Criminal record includes any conviction for a misdemeanor or a felony. Consider the criminal history of all parental figures who meet the criteria. For instance, an offender who identifies a mother, step-father, and biological father as a parental figure or who was involved in raising them would have 3 individuals to consider. Score this item as 0 if no parents have a criminal record. Score this item as 1 if parent, step-parent, or parental figure has a criminal record.

3.2 Currently Satisfied with Current Marital or Equivalent Situation:

Score this item as 0 if the offender is involved in a marriage or relationship that is mostly rewarding and satisfying and offers some positive controls over the offender. Also score as 0 if the offender is single and satisfied. Score as 1 if there are significant conflicts or problems resulting in dissatisfaction, stressors such as verbal abuse, lack of respect or indifference, or if there are few rewards or positive support from the relationship. *Note: This item can be scored from interview or self-report questionnaire. Question 16 on Self-Report.*

If scored from Self-Report, score 1 or 2 as 1 and 3 or 4 as 0.

3.3 Emotional or Personal Support Available from Family or Others:

Score this item as 0 only if the offender believes that family or close friends offer very strong emotional or personal support. Score as 1 if the offender does not believe that they have very strong emotional or personal support from their family or close friends. *Note: This item can be scored from interview or self-report questionnaire. Question 19 on Self-Report.*

If scored from Self-Report, score 1 thru 4 as 1 and 5 as 0.

3.4 Level of Satisfaction with Current Level of Support from Family or Others.

Score this item as 0 if the offender is extremely satisfied with the level of support they receive from family and friends, regardless of how they rate the level of support. Score as 1 if they are not satisfied or somewhat satisfied with level of support or if concerns are expressed. *Note: This item can be scored from interview or self-report questionnaire. Question 20 on Self-Report.*

If scored from Self-Report, score 1 thru 4 as 1 and 5 as 0.

3.5 Stability of Residence:

Score this item as 0 if the offender has lived in a stable residence over the past 12 months. Stable residence includes living with family, contractual agreement (pays rent), receives mail, helps pay the bills, has a key, few moves, etc. Score as 1 if the offender has had three or more address changes in the past 12 months or if living arrangements have otherwise not been stable (such as sleeping on a friend's couch, no permanent address, or being constantly thrown out of the house). *Note: Question 4 on Self-Report pertains to the number of times the offender has moved in the past year; however, interviewer should still probe about other issues related to stability.*

4.0 Neighborhood Problems

This domain is designed to examine the current neighborhood where the offender resides. There are 2 items in this domain with a total possible score of 3.

4.1 High Crime Area:

This item pertains to the neighborhood where the offender resides. Indicators to look for include: drugs are readily available, the neighborhood has a high proportion of offenders, criminal activities are common, or the police frequent the area. Score as 0 if the offender resides in a relatively crime free neighborhood. Score as 1 if the neighborhood is considered a high crime area. If the offender is living in a residential program or halfway house rate the offender's neighborhood they were living in prior to the facility. *Note: This item can be scored from interview or self-report questionnaire. Question 9 on Self-Report.*

4.2 Drugs Readily Available in Neighborhood:

This item pertains to the neighborhood where the offender resides, and can be scored as 0, 1, or 2. If the offender resides in a neighborhood where drugs are not readily available, score as 0. Score as 1 if drugs are somewhat available in the offender's neighborhood. Score as 2 if drugs are easily available. If the offender is living in a residential program or halfway house rate the offender's neighborhood s/he was living in prior to the facility. *Note: This item can be scored from interview or self-report questionnaire. Question 15 on Self-Report.*

If scored from Self-Report, score 4 as 0, 2 or 3 as 1, and 1 as 2.

5.0 Substance Use

The substance use section examines the occurrence of substance use in the offender's life and the extent to which substance use has caused problems across varying aspects of the offender's life. Substance use can be pervasive in its ability to influence multiple aspects of a person's life, including involvement with the legal system, issues with loved ones and friends, health and social service problems, and its interaction with seeking or maintaining employment. For this domain, alcohol and drug use is separated. There are 5 items in this domain with a total possible score of 6.

5.1 Age First began Regularly Using Alcohol:

For the purposes of assessment, regular use does not include having a sip or socially used a few times, but rather look for a pattern of use (e.g., drank most weekends). Score this item as 0 if the offender did not start regularly using alcohol until 17 years of age or older. If the offender has never regularly used alcohol, score as 0. If regular use before age 17, score as 1. **Note: This is Question #5 on Self-Report; however, interviewer should still probe about other issues related alcohol use.**

5.2 Longest Period of Abstinence from Alcohol:

The purpose of this item is to determine if the offender has a problem with alcohol and if so, has the offender abstained from alcohol recently. Score this item as 0 if the offender does not have a problem with alcohol or if the offender does have a problem s/he has abstained from alcohol for the past six months or longer. Score as 1 if the offender has a problem with alcohol and has not abstained for the past six months. Do not count periods of incarceration. **Note: This is Question #6 on Self-Report; however, interviewer should still probe about other issues related to alcohol use.**

5.3 Ever Used Illegal Drugs:

Use includes current or past use. For the purposes of this item, assessor can rely on interview, official records, results from drug tests, or other verifiable sources. Score this item as 0 if the offender has never used illegal drugs or prescription drugs for recreational use. Score as 1 if the offender has used illegal drugs or abused prescription drugs (including use for recreational purposes).

5.4 Drug Use Caused Problems:

This item can be scored as a 0, 1, or 2. Score as 0 if the offender's drug use has never led to problems (legal, employment, social, medical, and/or family). Score as 1 if the offender's drug use has caused problems in the past. Score as 2 if the offender's drug use poses a current problem. Take into consideration the most recent 12 month period.

5.5 Drug Use Caused Problems with Employment:

Score this item as 0 if the offender has not had any drug use related problems with employment in the past 6 months. Score as 1 for those offenders who have been fired from their job, routinely have been criticized on the quality of their work due to drug use at work, missed work due to drug use, have been caught being under the influence during work, or have been denied a job due to an inability to pass a drug test in the past 6 months. If the offender is unemployed, but has not had a work related problem with drug use, score as a 0. **Default scoring: If this question is scored yes, Item 5.4 must be scored a 2.**

6.0 Peer Associations

Items in this section are designed to examine the peer associations of the offender, how much contact they have, and how much they engage in criminal activities. There are 4 items in this domain, with a total possible score of 8.

6.1 Criminal Friends:

This item can be scored as 0, 1, or 2, depending on the percentage of criminal friends the offender has; do not consider acquaintances. Score this item as 0 if the offender does not have any close friends who have a criminal record and engage in criminal behavior. Those offenders who have some criminal *and* prosocial friends score as follows: If some, but less than half of their friends are involved in criminal behavior, score as a 1. For those offenders that have 50% or more of friends engaging in criminal behavior or activities, score as a 2. If the offender has only one close friend and that individual is criminal, score as a 2. ***Note: This item can be scored from interview or self-report questionnaire. This is Question #8 on Self-Report; however, interviewer should still probe about friendships.***

6.2 Contact with Criminal Peers:

The purpose of this item is to determine if the offender has established a clear plan to avoid past criminal associates. This item can be scored as 0, 1, or 2. For the purpose of this item, past associates include close friends as well as acquaintances and co-defendants. Score as 0 for those offenders who are not at risk of reconnecting with prior criminal associates. This could be either that the individual is making purposeful actions to avoid contact and distancing themselves from prior criminal associates, have clear and practical plans to deal with past associates, or they had no prior criminal associates. A score of 1 should be assigned to those offenders who are at some risk of reconnecting with prior criminal associates. This score suggests that there is some possibility the offender will reconnect with past criminal associates, and the individual has not taken any purposeful actions to prevent or avoid this interaction, or has adopted a passive or dismissive response to their influence. That is, they are not actively avoiding past friends, and a likely response from an individual with this score would be “I will deal with it when it occurs.” A score of 2 should be assigned to those offenders who, by verbal or behavioral indicators, have demonstrated that they are still in contact with criminal friends and associates or actively seek out old criminal friends. Any individual who has made it clear that they will resume relationships (romantic included) with co-defendants should be scored a 2.

6.3 Gang Membership:

This item can be scored as a 0, 1, or 2, depending on the offender's current or past gang involvement. Score as 0 if the offender has never been involved in a gang. Score as a 1 if the offender has been in a gang previously, but is not currently active. For those offenders who are currently in a gang, score as a 2. **Note: It is recommended that both self reported affiliation and/or official records be used to score this item.**

6.4 Criminal Activities:

This item can be scored as a 0, 1, or 2, depending on the offender's identification and participation in prosocial activities. The purpose of this item is to assess the offender's participation in activities which have the potential to result in criminal behavior. In addition to the specific questions for this item, the interviewer should score this item from the totality of the responses in the interview. Offenders may indicate that they regularly partake in criminal behavior/activities when they discuss what they do with their peers, in their free time, or when discussing activities they do with their spouse/family. It should be noted this item pertains to activities that are directly related to criminal behavior and not simply non-productive activities (i.e., videogames or watching television). This item is seeking to identify the frequency in which the individual participates in antisocial behavior including but not limited to the following: drug use, drunk driving, selling drugs, illegal gambling, criminal behavior, gang involvement, or hanging out with other known criminal associates. Score as 0 if the offender has a strong identification and participation in prosocial activities while avoiding antisocial behavior. This can include time and activities with family, work, school, sports, hanging out with prosocial friends, engaging in hobbies, clubs, church, and other prosocial activities. Score as 1 for offenders with a mixture of prosocial and procriminal identification and participation. These are offenders who, while engaging in prosocial activities, like work or family, also engage in behavior that puts them at risk for criminal conduct. Score this item as 2 if the offender has a strong identification and participation with criminal activities. These are offenders who identify with the criminal element, see crime as a way of life, and even if they are engaged in a few prosocial activities these activities will involve some elements of antisocial behavior (drinking, using drugs, fighting, etc).

7.0 Criminal Attitudes and Behavioral Patterns

This section addresses the criminal attitudes of the offender, as well as some personality traits that can often lead to criminal behavior. In addition to the specific questions for this item, the interviewer should score this item from the totality of the responses in the interview. Listen for rationalizations, minimizations, and justifications of behavior throughout the interview. There are 7 items in this domain with a total possible score of 13.

Neutralization techniques include:

- *Denial of Responsibility: The offender will state that criminal acts are due to factors beyond their control*
- *Denial of Injury: The offender admits responsibility for the act, but minimizes the extent of harm or denies any harm*
- *Denial of the Victim: The offender reverses the role of offender and victim and blames the victim*
- *“System Bashing”: The offender believes that those who disapprove of the offender’s acts are defined as immoral, hypocritical, or criminal themselves, since, after all, “everyone does it”*
- *Appeal to Higher Loyalties: This will be common with gang members, who believe that they “Live by a different code” – the demands of larger society are sacrificed for the demands of more immediate loyalties*

Other things to listen for include: negative expression about the law (the law is unfair); negative expressions about conventional institutions, values, rules, and procedures, including authority (i.e., work is for others); negative expressions about self-management of behavior, including problem solving ability (i.e., “I have never been good at that”); negative attitudes toward self and one’s ability to achieve through conventional means (i.e., “work is not for me”; “I have never done well in school”); and lack of empathy and sensitivity toward others (i.e., “who did I hurt besides myself?”).

7.1 Criminal Pride:

This item can be scored as 0, 1, or 2. This item is designed to gauge the offender's current pride in criminal behavior. Assessors should listen for justifications and minimizations, bragging about past criminal acts, and other verbal or behavioral indicators. For example, some offenders will claim to be ashamed of behavior, yet they have a history of continuing it. Others will minimize by blaming the victim, and some will say that the victim got what was coming to them. Score this item as 0 if the offender takes no pride in their criminal behavior, shows genuine remorse, and accepts responsibility for their actions. For those offenders who have some justifications or minimizations, score as a 1. These are individuals who, while generally prosocial, may not accept full responsibility for acts, or may see it happening again under the same circumstances. Score as 2 those offenders who attempt to justify or minimize criminal behavior, or who take responsibility but take pride in the behavior (i.e., "I would do it again"; "they had what was coming to them"; "what is wrong with using drugs, it should be legal anyway?").

7.2 Expresses Concern about Others

This item can be scored as 0, 1, or 2. This item examines the degree to which the offender is generally capable of expressing concern about others. For the purposes of this item, general concern about others is not specific to his or her criminal behavior. For those offender's who express genuine concern about others, score as a 0. Score as 1 for those offenders who appear to have only limited concern for others. For those offenders who do not express concern about others, score as a 2. *Note: This item can be scored from interview or self-report questionnaire. Question 21 on Self-Report.*

If scored from Self-Report, score 1 or 2 as 0, 3 as 1, and 4 or 5 as 2.

7.3 Feels Lack of Control Over Events:

This item can be scored as a 0, 1, or 2. This item examines the degree to which the offender feels in control of events affecting his or her life. For those offenders who feel like they have control over their lives and events surrounding them, score as a 0. A score of 1 should be given to those offenders who express some concern about controlling events in their lives, feel that they cannot always cope with day-to-day activities, but in general are able to handle situations as they appear. A score of 2 should be given to those offenders who do not feel like they have much control over events in their lives and who are having a difficult time coping with day-to-day stress. This item should take into consideration the most recent 6 month period. *Note: This item can be scored from interview or self-report questionnaire. Question 23 on Self-Report.*

If scored from Self-Report score 4 or 5 as 0, 3 as 1, and 1 or 2 as 2.

7.4 Sees No Problem in Telling Lies:

This item is designed to measure the degree to which the offender sees no problem in telling lies or being honest. For those offenders who believe that it is wrong to lie, except for small white lies (e.g., not to hurt someone's feelings), score as a 0. For those who justify lying, are willing to do it to get ahead, or see no real problem in lying, score as 1. The offender's willingness to tell the truth during this interview should be taken into account. *Note: This item can be scored from interview or self-report questionnaire. Question 22 on Self-Report.*

If scored from Self-Report, score 1 as 0 and 2 thru 5 as 1.

7.5 Engages in Risk Taking Behavior:

This item can be scored as 0, 1, or 2 and should take into consideration the most recent 6 month period. This item examines the degree to which the offender engages in risk taking behavior. For those offenders who do not generally engage in risk taking behavior (e.g., the current criminal activity appears to be an exception), score as a 0. A score of 1 should be assigned to those offenders who take some risk. Assign a score of 2 to those offenders who generally place themselves in risky situations or find it exciting to take risks. Interviewers should look for a pattern of risk taking behavior that might include non-criminal activities as well. *Note: This item can be scored from interview or self-report questionnaire. Question 24 on Self-Report.*

If scored from Self-Report, score 4 or 5 as 0, 3 as 1, and 1 or 2 as 2.

7.6 Walks Away from a Fight:

This item can be scored as 0, 1, or 2. This item examines the degree to which the offender is willing to walk away from a fight in the past 6 months. For those offenders who generally walk away from a fight and see themselves as someone who generally avoids fights, score as a 0. Score as 1 those offenders who do not seek fights and generally try and avoid them, but for whom it sometimes finds them. For those offenders who do not avoid fights, see themselves as not usually walking away from a fight, or actively seek it out, score as a 2. *Note: This item can be scored from interview or self-report questionnaire. Question 25 on Self-Report.*

If scored from Self-Report, score 1 or 2 as 0, 3 as 1, and 4 or 5 as 2.

7.7 Believes in “Do Unto Others Before They Do Unto You”:

This item can be scored as a 0, 1, or 2, and examines the degree to which the offender believes in “doing unto others before it is done to them.” Score as 0 those offenders who generally disagree with this statement. For those offenders who are ambivalent or say it “depends on the situation,” score as a 1. Score as 2 those offenders who agree with this statement. *Note: This item can be scored from interview or self-report questionnaire. Question 26 on Self-Report.*

If scored from Self-Report, score 4 or 5 as 0, 3 as 1, and 1 or 2 as 2.

INDIANA RISK ASSESSMENT SYSTEM: COMMUNITY SUPERVISION TOOL (IRAS – CST) INTERVIEW GUIDE

Conducting the Interview

The interview guide is designed to assist the assessor in gathering the information necessary to accurately assess the offender. It is important to establish rapport with the offender. While it is recommended that the interview guide be closely followed, the wording of the questions may vary. Here are some tips for conducting the interview:

- Conduct the interview in a relaxed and private environment
- Explain the purpose of the interview and stress the need for honesty and complete answers to questions
- Do not hesitate to use follow-up questions and probe. Examples of follow-up questions:
 - Tell me more. I want to be certain that I understand you.
 - What happened next?
 - Could you explain that further?
 - What do you mean?
 - Can you describe some examples?
 - How did that make you feel?
- Remember what information you are trying to obtain. Develop clear examples and remember there are sometimes differences in perception.
- Remember that the interviewer sets the tone. Be patient and try not to correct or teach
- Whenever possible use open-ended questions where the respondent provides his or her opinion and is able to elaborate. For example, “Tell me more about your relationship with....”
- Avoid double-barreled questions where the respondent is asked a combination of questions:
 - “How is your relationship with your mother and father?”
- Avoid biased questions where the respondent is led in a certain direction:
 - “Your relationship with your mother isn’t bad, is it?”

Also remember that the interview is only one source of information. Official records, the self-report questionnaire, and collateral sources such as family members or other professionals should also be consulted. It is important that to corroborate the offenders’ responses whenever possible.

SECTION 1: GENERAL RISK/NEED DOMAINS

1.0 CRIMINAL HISTORY:

*The first section of the interview is designed to gather information necessary to score the **Criminal History** domain of the tool. While some of this information can be obtained from official records, it is still recommended that the assessor ask the offender about the current offense and past criminal behavior. This information will help score other areas of the assessment, particularly the **Peers** and **Criminal Thinking and Behavioral Patterns** sections. It is important to corroborate self report information in this area with official records.*

The following items are scored in this area:

1.1 Most Serious Arrest Under Age 18: 0=None 1=Yes, Misdemeanor 2=Yes, Felony

1.2 Number of Prior Adult Felony Convictions: 0=None 1=One or Two 2=Three or more

1.3 Prior Sentence as an Adult to a Jail or Secure Correctional Facility: 0=No 1=Yes

1.4 Received Official Misconduct while Incarcerated: 0=No 1=Yes

1.5 Prior Sentence to Probation as an Adult: 0=No 1=Yes

1.6 Community Supervision Ever Been Revoked for Technical Violation as an Adult:
0=No 1=Yes

Questions for Criminal History Domain:

1a. Tell me about the first time you were ever arrested? _____

1b. How old were you when you were first arrested? _____

1c. What was it for? _____

I want to now ask you about your criminal record as an adult.

1d. Tell me about what happened the day you were arrested. _____

1e. Why did you decide to commit the offense? _____

1f. What part did others play in the offense? _____

1g. What part did drugs or alcohol play? _____

1h. Did you threaten or hurt anyone? _____

1i. How many times have you been convicted of a felony? _____

1j. Have you ever gotten in trouble for fighting or assaultive type behavior? __ No __ Yes

1k. How many times? _____

1l. What happened? _____

1m. Have you ever been sentenced to jail? __ No __ Yes How many times? _____

1n. Have you ever been sentenced to prison? __ No __ Yes How many times? _____

1o. Have you ever been sentenced to another type of secure correctional facility like a CBCF?
__ No __ Yes

How many times? _____

1p. While you were incarcerated did you ever get written up or punished for misconduct?

__ No __ Yes. How many times? _____

What was it for? (Probe to see if any misconducts were for violence)

1q. What was the result of the misconduct? _____

1r. How did you feel about the sanction you received? _____

1s. Have you ever been on probation? No Yes How many times? _____

1t. What were you on probation for? _____

1u. Did you complete probation supervision? No Yes

1v. Have you ever been on parole? No Yes

1w. Did you complete parole supervision? No Yes

1x. What was the hardest part of being on supervision? _____

1y. Have you ever had probation or parole supervision revoked for a technical violation?

No Yes How many times? _____

2.0 EDUCATION, EMPLOYMENT, AND FINANCIAL SITUATION:

This domain is designed to gather information about the offender's educational attainment, employment, and current financial situation. It is fairly straightforward and most respondents will be forthcoming.

The following items are scored in this area:

2.1 Highest Education: 0=High School Grad or Higher 1=Less than High School or GED

2.2 Ever Suspended or Expelled From School: 0=No 1=Yes

2.3. Employed at the Time of Arrest: 0=Yes 1=No

2.4 Currently Employed: 0=Yes, Full-time, Disabled, or Retired 1=Not Employed or Employed Part-time

2.5 Better Use of Time: 0=No, Most Time Structured 1=Yes, Lots of Free Time

2.6 Current Financial Situation: 0=Good 1=Poor

Questions for Education, Employment, and Financial Situation Domain:

I would now like to talk with you about your education, employment and financial situation:

2a. What is the highest grade you completed? _____ Did you graduate? ___ No ___ Yes

Did you get a GED? ___ No ___ Yes

2b. Were you ever in any special education classes? ___ No ___ Yes

2c. Tell me about problems you might have had in school? _____

2d. Were you ever suspended or expelled from school? ___ No ___ Yes

What happened? _____

2e. Were you working at the time of your arrest? ___ No ___ Yes

What were you doing? _____

2f. Are you currently employed? ___ Yes ___ Full time ___ Part Time ___ Seasonal ___ No
If no, why not: _____

Where do you work? _____

How long have you had this job? _____

2g. If no, how do you support yourself while not working? _____

2h. How many hours a week do you work? ___ Year around or seasonal? ___ Yearly ___ Seasonal

If not employed, find out why. _____

2i. How do you get along with your co-workers? _____

3.0 Family and Social Support:

The family and social support section examines the familial and social support of the offender. Research has shown that an individual's family and social support can influence the probability of future criminal behavior. Individuals with family and social support systems who are supportive or tolerant of criminal behavior are more likely to engage in criminal behavior. Alternatively, individuals who have a strong family or social support network which condemns criminal activities reduce the likelihood that an individual will engage in future criminal behavior.

The following items are scored in this area:

3.1 Parents have Criminal Record: 0=No 1=Yes

3.2 Currently Satisfied with Current Marital or Equivalent Situation: 0=Yes 1=No

3.3 Emotional and Personal Support Available from Family or Others:
0=Very Strong Support 1=None to Strong Support

3.4 Level of Satisfaction with Current Level of Support from Family or Others:
0=Very Satisfied 1=Not Satisfied

3.5 Stability of Residence: 0=Stable 1=Not Stable

Questions for Family and Social Support Domain:

I now want to talk with you about your family and the support you get from them.

3a. Describe your relationship with your family? (Probe to determine if parents (both biological and step parents are still alive and how much contact they have with them). _____

3b. Who raised you? _____

3c. How often do you get together with them? _____

3d. How do they feel about you getting in trouble with the law? _____

3e. Has your trouble with the law changed your relationship with your family? _____

Explain? _____

3f. Does anyone in your family have a criminal record? __ No __ Yes Who? _____
What was it for? _____

When was the last time you saw them? _____

3g. Now let me ask you about your current marital situation. Are you married? __ No __ Yes

Are you involved with anyone? Probe to determine if it is a casual relationship or a significant other. _____

3h. Describe your relationship with your partner? _____

How do you get along? _____

How do you resolve disagreements? _____

3i. In this relationship have you experienced physical, psychological, or sexual abuse?

Whether involved or single, ask how satisfied the offender is with his/her current situation. _____

3j. Do you have a supportive relationship with your family? _____

Close friends? _____

3k. In terms of your family, spouse, and close friends, how would you rate the emotional and personal support you received from them:

Very Strong Support Strong Support Ok Support Weak Support No Support

3l. How satisfied are you with current level of support you have received from your family or close friends?

Very Satisfied Satisfied Somewhat Satisfied Not Satisfied

3m. If the offender is not living with family or significant other, ask them who they currently live with. _____

4.0 NEIGHBORHOOD PROBLEMS:

This next domain is very short and asks about the neighborhood that the offender lives in. High crime neighborhoods and places where drugs are readily available often increase the opportunity for an offender to engage in criminal behavior.

The following items are scored in this area:

4.1 High Crime Area: 0=No 1=Yes

4.2 Drugs Readily Available in Neighborhood:

0=No, Generally Not Available 1=Yes, Somewhat Available 2=Yes, Easily Available

Questions for Neighborhood Problems Domain:

I would now like to talk with you about where you live.

4a. How long have you lived at your current address? _____

4b. How many times have you moved in the past year (do not count incarcerations)? _____

What were the reasons for the moves? (Probe to determine stability of current living situation.) _____

4c. Tell me about the neighborhood you live in. _____

4d. Tell me about the kinds of crimes happening in your neighborhood. _____

Are police there frequently? _____

Do you feel safe? _____

How would you rate your neighborhood?

__High Crime __Moderate Crime __ Some but no more than most __Little crime

Are drugs readily available in your neighborhood?

__Generally not available __ Somewhat available __ Easily available

5.0 SUBSTANCE USE

The substance use section examines the occurrence of substance use in the offender's life and the extent to which its use has caused problems across varying aspects of the offender's life. Substance use can be pervasive in its ability to influence multiple aspects of a person's life including involvement with the legal system, issues with loved ones and friends, health and social service problems, and its interaction with seeking or maintaining employment. For this domain alcohol and drug use are separated.

The following items are scored in this area:

5.1 Age First Began Regularly Using Alcohol: 0=17 or older 1=Under Age 17

5.2 Longest Period of Abstinence from Alcohol: 0= Six Months or Longer 1=Less than Six Months

5.3 Ever Used Illegal Drugs: 0 = No 1 = Yes

5.4 Drug Use Caused Problems: 0=Never 1=Past 2= Current

5.5 Drug Use Caused Problems with Employment: 0=No 1=Yes

Questions for Substance Abuse Domain:

Let's talk about your substance use.

5a. Have you ever had a problem with alcohol? No Yes

5b. When did you first start to regularly drink? _____

5c. Describe your drinking habits. _____

What is the longest period you have abstained from drinking? _____

How long has it been since you last drank? _____

5d. Have you ever been in treatment for alcohol use? _____

5e. Have you ever had a problem with drugs other than alcohol? No Yes

5f. Describe your drug use in the past. _____

5g. How often did you use? _____

What drugs? _____

Did you use alone or with others? _____

5h. Have you ever had any problems due to your drug use (Social, family, legal, employment, family, etc)?

How many times? _____

5i. Has your drug use ever caused you problems with a job? ___ No ___ Yes

What happened? _____

5j. Have you ever been in treatment for drug use? ___ No ___ Yes

If yes, please explain: _____

5k. If drugs or alcohol are a problem ask the offender if they are willing to consider going to a program. _____

6.0 PEER ASSOCIATIONS

This domain is designed to examine the peer association of the offender, how much contact they have, and how much the offender engages in criminal activities. Friends can have a strong influence over offenders, and it is important to determine the degree to which their friends are involved in criminal behavior.

The Following Items are Scored in this Domain:

6.1 Criminal Friends: 0=None 1=Some 2=Majority

6.2 Contact with Criminal Peers: 0=No Contact with Criminal Peers 1=At Risk of Contacting Criminal Peers 2=Contact or Actively Seeks out Criminal Peers

6.3 Gang Membership: 0=No, Never 1=Yes, but Not Current 2=Yes, Current

6.4 Criminal Activities: 0=Strong Identification with Prosocial Activities 1=Mixture of Pro- and Antisocial Activities 2=Strong Identification with Criminal Activities

Question for the Peers Domain:

I now want to ask you about your friends.

6a. How many close friends would you say you have? _____

How often do you see them? _____

6b. Was anyone else involved in the current offense? ___No ___ Yes

If yes explain: _____

What is your current relationship with them? _____

6c. Have any of your close friends been involved in criminal behavior? _____

What percentage of your close friends have been in trouble with the law? _____%

What kind of things have they been involved in? _____

6d. What are some the activities you like to do with your family and friends? _____

Now I want you to think of other friends, not necessarily close ones, but more like acquaintances. These are people you see and hang out with occasionally.

6e. How many of your acquaintances have been in trouble with the law? _____

What kind of activities have they been involved in? _____

How often do you have contact with them? _____

6f. Have you ever been in a gang? ___ No ___ Yes

When? _____

Are you in one now? ___ No ___ Yes

If yes probe for more information: _____

6g. Do you have any hobbies or interests? _____

Do you belong to any groups or clubs? _____

Do you go to church? _____

(Probe to find out degree to which the offender is involved in prosocial activities.)

7.0 CRIMINAL ATTITUDES AND BEHAVIORAL PATTERNS:

This domain addresses the criminal attitudes of the offender as well as some behavioral patterns that can often lead to criminal behavior. In addition to the specific questions for this item, the interviewer should score this item from the totality of the responses in the interview. Listen for rationalizations, minimizations, and justifications of behavior throughout the interview.

The Following Items are Scored for this Domain:

7.1 Criminal Pride: 0=No Pride in Criminal Behavior 1=Some Pride 2=A Lot of Pride

7.2 Expresses Concern about Others: 0=Concerned About Others: 1=Limited Concern
2=No real Concern for Others

7.3 Feels Lack of Control Over Events: 0=Controls Events 1=Sometimes Lacks Control
2=Generally Lacks Control

7.4 Sees No Problem in Telling Lies: 0=No 1=Yes

7.5 Engages in Risk Taking Behavior: 0=Rarely Takes Risks 1=Sometimes Takes Risks
2=Generally Takes Risks

7.6 Walks Away from a Fight: 0=Yes 1=Sometimes 2=Rarely

7.7 Believes in "Do Unto Others Before They Do Unto You":
0=Disagree 1=Sometimes 2=Agrees

Questions for the Criminal Attitudes and Behavioral Patterns Domain:

Let's talk again about the trouble you got in.

7a. How do you feel about what happened? _____

What do you think about crime? _____

Tell me about the victims? _____

How do you think they feel about what you did? _____

____ No pride in criminal behavior ____ Some pride ____ A lot of pride

7b. As a general rule do you worry about other people's problems? _____

____ Concerned about others ____ Some concern ____ No real concern for others

Now I want you to think about how things have been going for you recently.

7c. Do you sometimes feel that you have lost control over events in your life? _____

____ Feels in Control over Events ____ Sometimes lacks control ____ Generally lacks control

Why? _____

Now let me ask you about honesty.

7d. Do you think it is sometimes ok to tell a lie? _____

Under what circumstances? _____

____ Never only small white lies ____ Yes it is ok

7e. A lot of people like to take chances and risks. Do you consider yourself to be a risk taker?

How about when you committed your offense? _____

How did it make you feel? _____

If I asked you to rate yourself as a risk taker on a scale from 1 to 5 with 1 being not at all, and 5 being often how would you rate yourself? _____

7f. Would you describe yourself as someone who “Walks Away from a fight”, or “Tries to avoid it but it seems to find you” or, “first one in”? _____

If I asked you to rate yourself on a scale from 1 to 5 with 1 being “walks away”, and 5 being “first one in” how would you rate yourself? _____

7g. Have you ever heard the saying, “Do Unto Others Before They Do Unto You”? In general do you:

___Disagree with statement, ___ depends on the situation, or ___Agree with statement

7h. How do you feel about getting some help or participating in programs? _____

SECTION 2: RESPONSIVITY ASSESSMENT

Considering the entire interview as well as official records, the next section is designed to identify special considerations or responsivity factors that might affect the offender's engagement in supervision or programming. For each of the following areas check the boxes that best describes the offender for each of these items.

Low Intelligence*

Physical Handicap (describe) _____

Reading or Writing significantly below normal*

Mental Health Issues (list diagnoses*) _____

Motivation is a Problem* (No Desire to Change/Participate in Programs) Note, some offenders will be motivated to obtain help in some areas but not others. For example, they may want assistance in getting a job, but are not willing to go to substance abuse treatment. This can be important for case planning. Please provide information:

Transportation is a problem

Child Care is a problem

Language is a problem. List offender's native language: _____

Ethnicity or cultural barriers. Describe: _____

History of Abuse/Neglect poses a barrier for offender. Explain: _____

Interpersonal Anxiousness (offender is very nervous and may require program with little confrontation)

Other, Please explain: _____

****If these items are checked it is strongly recommended that further assessment be conducted to determine level or severity.***

SELF-REPORT SURVEY – COMMUNITY SUPERVISION ASSESSMENT TOOL

Name: _____

Today's Date: _____

The following questions ask about several things in your life, such as education, employment, your family, friends, and your beliefs. Please answer the following questions the best you can. There are no right or wrong answers to these questions. Some questions will be simple yes/no questions, and others will ask you to circle a number which corresponds to how much that statement reflects your beliefs or is "true" for you.

1. Highest Education

- Less than 12th Grade
- High School Graduate
- GED
- College

2. In school were you ever suspended or expelled? Yes No

3. How long have you lived at your current address? _____

4. How many address changes have you had in the past 12 months (do not count incarceration)? _____

5. What is the age that you first began regularly using alcohol? _____

6. How long has it been since you last drank alcohol? _____

7. What is the longest period of time you have abstained from drinking? _____

8. What percent of your close friends have been in trouble with the law? _____%

9. Would you say that you live in a "high crime" neighborhood? Yes No

10. Were you employed at the time of your arrest? Yes No

11. If yes, how many hours per week did you work? _____

12. Are you currently employed?

- Full-time
- Part-time
- No, I am on disability
- No, I am retired
- No, not currently employed

13. In your opinion, do you have a lot of free time? Yes No

14. On average, approximately what percent of your week is considered free time? _____%

PRISON INTAKE TOOLS

- *PRISON INTAKE TOOL*
- *PRISON INTAKE SCORING GUIDE*
- *PRISON INTAKE INTERVIEW GUIDE*
- *PRISON INTAKE SELF-REPORT*

INDIANA RISK ASSESSMENT SYSTEM – PRISON INTAKE TOOL (IRAS-PIT)

Name: _____ Date of Assessment: _____

Case#: _____ Name of Assessor: _____

AGE

_____ Age at Time of Assessment
0=24+
1=18-23

1.0 CRIMINAL HISTORY

- 1.1. Most Serious Arrest Under Age 18
0=None
1=Yes, Misdemeanor
2=Yes, Felony
- 1.2. Prior Commitment as a Juvenile to Department of Youth Services
0=No
1=Yes
- 1.3. Number of Prior Adult Felony Convictions
0=None
1=One or Two
2=Three or More
- 1.4. Arrests for Violent Offense as an Adult
0=No
1=Yes
- 1.5. Number of Prior Commitments to Prison
0=None
1=One
2=Two or More
- 1.6. Ever Received Official Misconduct while Incarcerated as an Adult
0=No
1=Yes
- 1.7. Ever Had Escape Attempts as an Adult
0=No
1=Yes

Total Score in Age/Criminal History:

2.0 SCHOOL BEHAVIOR AND EMPLOYMENT

- 2.1. Ever Expelled or Suspended from School
0= No
1= Yes
- 2.2. Employed at the Time of Arrest
0=Yes
1=No
- 2.3. Employed Full-time Just Prior to Incarceration
0=Yes, Full-time or Disabled
1=Not Employed or Employed Part-time
- 2.4. Attitudes toward Boss/Employer
0=Good Relationship
1=Poor Relationship
- 2.5. Longest Length of Employment Past Two Years
0=18 Months or More
1=1-17 Months
2= None
- 2.6. Better Use of Time
0=No, Most Time Structure
1=Yes, Lots of Free Time

Total Score in School Behavior and Employment: **3.0 FAMILY AND SOCIAL SUPPORT**

- 3.1. Current Marital Status
0= Married or Cohabiting
1= Single (Married but Separated), Divorced, Widowed
- 3.2. Living Situation Prior to Incarceration:
0=Significant Other
1=Parents, Friends, or Other
2=Alone or Shelter
- 3.3. Stability of Residence Prior to Incarceration
0=Stable
1=Not Stable
- 3.4. Emotional and Personal Support Available from Family or Others
0=Very Strong Support
1=None to Strong Support
- 3.5. Level of Satisfaction with Current Level of Support from Family or Others
0=Very Satisfied
1=Not Satisfied

Total Score for Family and Social Support:

4.0 SUBSTANCE ABUSE AND MENTAL HEALTH

- 4.1. Longest Period of Abstinence from Alcohol
0= 6 Months or Longer
1= Less than 6 Months
- 4.2. Age at First Illegal Drug Use
0=16 or Older
1=Under 16
- 4.3. Problems with Employment due to Drug Use:
0=No
1=Yes
- 4.4. Problems with Health due to Drug Use
0=No
1=Yes
- 4.5. Ever Diagnosed with Mental Illness/Disorder
0=No
1=Yes

Substance Abuse and Mental Health:

5.0 CRIMINAL LIFESTYLE

- 5.1. Criminal Activities
0= Prosocial
1= Mixture
2=Criminal Activities
- 5.2. Gang Membership
0= No, Never
1= Yes, but Not Current
2= Yes, Current
- 5.3. Ability to Control Anger
0= Good Control
1= Poor Control
- 5.4. Uses Anger to Intimidate Others
0=No
1=Yes
- 5.5. Acts Impulsively
0=No
1=Yes
- 5.6. Feels Lack of Control Over Events
0= Controls Events
1= Sometimes Lacks Control
2= Generally Lacks Control
- 5.7. Walks Away from a Fight
0= Yes
1= Sometimes
2= Rarely

Total Score for Criminal Lifestyle:

TOTAL SCORE:

Risk Categories for MALES		Risk Categories for FEMALES	
Scores	Rating	Scores	Rating
0-8	Low	0-12	Low
9-16	Moderate	13-18	Moderate
17-24	High	19+	High
25+	Very High		

Professional Override: YES NO

Reason for Override (note: overrides should not be based solely on offense):

Final Level: LOW MODERATE HIGHE VERY HIGH

Other Areas of Concern. Check all that Apply:

- Low Intelligence*
- Physical Handicap
- Reading and Writing Limitations*
- Mental Health Issues*
- No Desire to Change/Participate in Programs*
- Transportation
- Child Care
- Language
- Ethnicity
- Cultural Barriers
- History of Abuse/Neglect
- Interpersonal Anxiety
- Other _____

*If these items are checked it is strongly recommended that further assessment be conducted to determine level or severity.

Level of Need

HIGH	7-10	6-7	5-6	4-5	6-8
MOD	4-6	4-5	3-4	2-3	3-5
LOW	0-3	0-3	0-2	0-1	0-2
	Criminal History	School Behavior and Employment	Family and Social Support	Substance Abuse and Mental Health	Criminal Lifestyle

Indiana Risk Assessment System - Prison Intake Tool (IRAS-PIT) Scoring Guide

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Introduction

The following scoring guide is intended to be a user's guide for the Indiana Risk Assessment System - Prison Intake Tool, which was developed by the Center for Criminal Justice Research at the University of Cincinnati. The scoring guide will give a brief overview of the assessment tool followed by an item-by-item explanation of scoring criteria.

Overview of the Prison Intake Tool (PIT)

The Prison Intake Tool (PIT) is designed to be used with offenders as they enter prison. The instrument was designed to be administered through file review and a structured interview, although some items can be obtained through a self-report questionnaire. The PIT consists of current age of the offender and 30 additional items across five domains: 1) Criminal History, 2) School Problems and Employment, 3) Family and Social Support, 4) Substance Use and Mental Health, and 5) Criminal Lifestyle.

As elaborated later in this guide, each item is scored using specific criteria and the scores can range from 0 to 2, depending on the item. The final score is a summed product of each of the individual items creating a range between 0-40. The higher the score, the greater the risk of reoffending. The following cut off scores and failure rates have been established for this instrument:

Risk Categories for MALES			Risk Categories of FEMALE		
Scores	Rating	Percent of Failures	Scores	Rating	Percent of Failures
0-8	Low	17%	0-12	Low	17%
9-16	Moderate	32%	13-18	Moderate	33%
17-24	High	58%	19+	High	63%
25+	Very High	71%			

General Assessment Information

Information to score this assessment should be gathered from the interview with the offender, and verified with available criminal history reports or other collateral sources. For this reason, the following terms should be clarified:

- **Arrest vs. Conviction:** Items which ask about arrests are inquiring to times the offender was taken into custody or citation written, for a misdemeanor or felony, regardless of the final disposition. There are a variety of reasons why a charge might not become a conviction: dismissal, court diversion in lieu of conviction, etc. For clarification, convictions are findings of guilt by a court which results in a criminal record.
- **Prior:** Items which ask about prior incidents are inquiring about events which occurred *before* the current offense. Current offenses should not be considered when scoring these items. For example, an offender who is being assessed for their third conviction would only have two prior convictions.
- **Current:** Items which ask about current behavior should focus on the last six month period prior to the assessment, unless otherwise stated.
- **Incarceration:** Items which ask about prior incarcerations in a secure correctional facility are inquiring about custodial sentences imposed as punishment upon conviction. Jail incarceration which result from pretrial detention or other non-court issued confinement should not be scored as a yes. Jail stays resulting from probation violations should be counted in this question.

Accuracy of Information

An accurate assessment requires accurate information. There are several sources of information that should be used: official records, offender interview, self-report questionnaire, and collateral sources. Remember the following tips:

- Ask the right questions in the right way – follow the questionnaire.
- Use effective interviewing techniques – probe and allow offender to talk.
- Get the quality and depth of information needed – take your time and do not rush.
- Get collaboration from collateral sources – when in doubt, double check information.
- Score accurately – double check scoring, follow the scoring guide, and consult with a supervisor if in doubt about an item.

Scoring for the Prison Intake Tool

Age at Time of Assessment:

Score this item as 0 if the offender is 24 years of age or older at the time of the assessment. Score as 1 if under 24. *Note: This item can be scored from interview or self-report questionnaire. Question 1 on the Self-Report.*

1.0 Criminal History Section

The criminal history section examines aspects of the individual's criminal behavior that correlates with recidivism. It is well documented that past criminal behavior is predictive of future criminal behavior. As such, seven items are included in this domain, with a total possible score of 10.

1.1 Most Serious Arrest Under Age 18:

Score this item as 0 if the offender does not have a prior arrest or charge under the age of 18, or if arrest/charge was for a status offense. Status offenses include: unruly, runaway, truancy, or other charges that if committed by an adult would not result in charges. Score as 1 if the offender was arrested/charged under the age of 18 for a misdemeanor. Score as 2 if first arrest/charge under 18 was for a felony. Do not count arrests/charge for status offenses. If the age at first arrest is unknown, use the age at first conviction. If youth was arrested/charged but level cannot be determined, score as 1.

1.2 Prior Commitment as a Juvenile to Department of Youth Services:

Score this item as 0 if the offender has never been committed to a state facility as a juvenile offender or if unknown. Score as 1 if the offender was committed to the state as a juvenile. Only count commitments to the state facility in which time was served. Do not count suspended commitments. This can include commitment to Indiana or other state's department of youth services.

1.3 Number of Prior Adult Felony Convictions:

Score this item as 0 if the offender does not have any prior adult felony convictions. Score as a 1 if the offender has one or two felony convictions as an adult. Score as a 2 if the offender has three or more prior adult felony convictions. All felony convictions are tallied regardless of if they were from a single arrest event or multiple events over the course of time. Only the final dispositions are considered for scoring. For example, any prior felony charge which was pled to a misdemeanor would not count. In addition, any alternative to a conviction (i.e., diversion) would not count.

1.4 Arrests for Violent Offense as an Adult:

Score this item as 0 if the offender has not been arrested for a violent offense, including current offense. Score as 1 if the offender's current or any prior arrests were for a violent offense. For the purpose of this item, violent offenses include sexual assault or sexual violence, domestic assault or domestic violence, and other violent crimes as defined in the Indiana Criminal Code. This does not include no-contact orders or threats of violence.

1.5 Number of Prior Commitments to Prison:

This item can be scored as 0, 1, or 2, depending on the number of prior commitments. Score this item as 0 if the offender has never been committed to prison. Score as 1 if the offender has one prior commitment to prison, and score as 2 if the offender has two or more prior commitments to prison. Jails, community correction programs, and CBCFs do not count as a prison commitment.

1.6 Ever Received Official Misconduct while Incarcerated as an Adult:

Score this item as 0 if the offender has never received an official major misconduct (one for which a sanction was imposed). Score as 1 if the offender has received an official major misconduct within the facility in which a sanction was imposed (i.e., loss of freedom, increased level of classification, exclusion, etc.). Count the current period of incarceration if the assessment is being conducted in a secure setting (e.g., prison, secure community corrections program). To be scored, this sanction must have resulted in a formal finding from a rule infraction board (RIB). Do not count minor infractions or informal warnings from correctional staff which did not result in a formal sanction.

1.7 Ever had Escape Attempts as an Adult:

Score this item as 0 if the offender has never been charged with an escape or attempted escape from a jail or correctional facility. Score this item as 1 if the offender has current or prior escape or attempted escape charges. This includes charges of escape from work release or a halfway house if under Department of Correction custody. Absconding from probation or parole supervision does not count as an escape, unless offender was considered under correctional custody and escape charges were leveled.

2.0 School Behavior and Employment

This section examines the past school behavior of the offender, as well as employment and use of time. Both current and past events are included. There are 6 items in this domain with a total possible score of 7.

2.1 Ever Suspended or Expelled from School:

Score as 0 if the offender has never been expelled or suspended from school. Score as 1 if the offender reports having been expelled or suspended from school. Do not count in-school suspensions. These scores should be assessed regardless of the age of the offender. **Note: This item can be scored from interview or self-report questionnaire. Question 3 on Self-Report.**

2.2 Employed at the Time of Arrest:

Score as 0 if the individual was legally employed (either part-time or full-time) at the time of arrest for the current offense. If the individual is disabled or retired, score as 0. Score as 1 if the offender was unemployed at the time of arrest or working under the table. If self-employed, this must be verified. Note: if the work is temporary and the hours are inconsistent from week to week, score as 1. Only employment should be considered for this item; do not take into account education status or taking care of family/household. **Note: This item can be scored from interview or self-report questionnaire. Question 11 on Self-Report.**

2.3 Employed Full-time Just Prior to Incarceration:

This item is designed to measure the offender's employment status at the time of incarceration. If the offender was in detention prior to incarceration count the most recent period the offender resided in the community. Score as 0 if the offender was employed just prior to incarceration and either: worked 30 hours or more a week (full-time), is verifiably disabled and unable to work, is retired and existing on a pension, if the individual worked seasonally, but the income covers expenses year round, was a full-time homemaker whose job it was to maintain the house and care for dependents, or was attending a school full-time (or part-time schooling co-occurring with a part-time job). If self-employed, this must be verified. If the individual is unemployed or employed only part-time, score as 1. Note: if the work was temporary, under the table, or the hours were inconsistent from week to week, score as 1. **Note: This item can be scored from interview or self-report questionnaire. Question 13 on Self-Report.**

2.4 Attitudes toward Boss/Employer:

Score this item as 0 if the offender had a good relationship with his or her most recent boss or employer. Score as 1 if the offender did not have a good relationship. If unemployed for more than six months prior to incarceration, score as 1. **Note: This item can be scored from interview or self-report questionnaire. Question 17 on Self-Report.**

If scored from Self-Report, score 4 or 5 as 0 and 1 thru 3 as 1.

2.5 Longest Length of Employment Past Two Years:

This item can be scored 0, 1, or 2, depending on the length of employment. Score as 0 if the offender has been employed 18 months or more at least part-time during the past two years. Score as 1 if the offender has been employed at least part-time between one and 17 months during the past two years. If self-employed, this must be verified. Score as 2 if the offender has been employed less than one month during the past two years. Time employed does not have to have been consecutive but has to be more than picking up an odd job for a couple of days. **Note: This item can be scored from interview or self-report questionnaire. Question 14 on Self-Report.**

2.6 Better Use of Time:

Score this item as 0 if 50 percent or more of the offender's time is structured. This can include school, work, and structured activities (such as church, taking care of a family member, or other prosocial activities). Score as 1 if less than 50 percent of the offender's time is structured. This item should be scored based on the past 6 months. If in a correctional facility consider the time in the community as well as the offender's use of free time while in the facility. **Note: Questions 15 and 16 on Self-Report pertain to the offender's free time; however, interviewer should still probe about other issues related to the offender's amount of structured time.**

3.0 Family and Social Support

The family and social support section examines the familial and social support of the offender. Research has shown that an individual's family and social support can influence the probability of future criminal behavior. Individuals with family and social support systems who are supportive or tolerant of criminal behavior are more likely to engage in criminal behavior. Alternatively, individuals who have a strong family or social support network which condemn criminal activities reduces the likelihood that an individual will engage in future criminal behavior. There are 5 items in this domain with a total possible score of 6.

3.1 Current Marital Status:

Score this item as 0 if the offender is currently married or remained in a relationship with a person s/he was living with at the time of arrest/incarceration. Score as 1 if the offender is single (separated, divorced, or widowed). **Note: This item can be scored from interview or self-report questionnaire. Question 2 on Self-Report.**

3.2 Living Situation Prior to Incarceration:

This item can be scored 0, 1, or 2. Score as 0 if the offender was living with spouse or significant other just prior to incarceration. Score as 1 if offender lived with parents, friends, or other relatives. Score as 2 if offender was living alone or in a shelter, group home, or halfway house. **Note: This item can be scored from interview or self-report questionnaire. Question 6 on Self-Report.**

3.3 Stability of Residence Prior to Incarceration:

Score this item as 0 if the offender has lived in a stable residence over the past 12 months. Stable residence during the past 12 months includes living with family, contractual agreement (pays rent), receives mail, helps pay the bills, has key, few moves, etc. Score as 1 if the offender has had three or more address changes in the past 12 months, or if living arrangements have otherwise not been stable (such as sleeping on a friend's couch, no permanent address, or being constantly thrown out of the house). Take into consideration the last 12 months the offender has resided in the community. *Note: Questions 4 and 5 on Self-Report pertain to the number of times the offender has moved in the past year; however, interviewer should still probe about other issues related to stability.*

3.4 Emotional and Personal Support Available from Family or Others:

Score this item as 0 only if the offender believes that family or close friends offer **very strong** emotional or personal support. Score as 1 if the offender does not believe that they have very strong emotional or personal support from their family or close friends. *Note: This item can be scored from interview or self-report questionnaire. Question 18 on Self-Report. This item is designed to measure the offender's perception of the level of support received from family and friends, and not the assessor's perception of the quality of that support.*

If scored from Self-Report, score 1 thru 4 as 1 and 5 as 0.

3.5 Level of Satisfaction with Current Level of Support from Family or Others.

Score this item as 0 if the offender is **highly satisfied** with the level of support they receive from family and friends, regardless of how they rate the level of support. Score as 1 if they are not highly satisfied with the level of support or if concerns are expressed. *Note: This item can be scored from interview or self-report questionnaire. Question 19 on Self-Report. This item is designed to measure the offender's perception of the level of satisfaction of support received from family and friends, and not the assessor's perception of that that support.*

If scored from Self-Report, score 1 thru 4 as 1 and 5 as 0.

4.0 SUBSTANCE USE AND MENTAL HEALTH

The substance use and mental health section examines the occurrence of substance use in the offender's life and the extent to which its use has caused problems across varying aspects of the offender's life. Substance use can be pervasive in its ability to influence multiple aspects of a person's life, including involvement with the legal system, issues with loved ones and friends, health and social service problems, and its interaction with seeking or maintaining employment. For this domain, alcohol and drug use are separated. Mental Health is also examined in this area. There are 5 items in this domain with a total possible score of 5.

4.1 Longest Period of Abstinence from Alcohol:

The purpose of this item is to determine if the offender has a problem with alcohol and if so, has the offender abstained from alcohol recently. Score this item as 0 if the offender does not have a problem with alcohol or if the offender does have a problem s/he has abstained from alcohol for the past six months or longer. Score as 1 if the offender has a problem with alcohol and has not abstained for the past six months. Do not count periods of incarceration. **Note: This is Question 7 on Self-Report; however, interviewer should still probe about other issues related to alcohol use.**

4.2 Age First Illegal Drug Use:

The purpose of this item is to gauge the onset of drug use. Score this item as 0 if the offender did not start regularly using illegal drugs until 16 years of age or older. If the offender did not start using drugs prior to the age of 16 score this item a 0. If the offender used illegal drugs under the age of 16, score as 1. **Note: This is Question 9 on Self-Report; however, interviewer should still probe about other issues related to drug use.**

4.3 Problems with Employment due to Drug Use:

Score this item as 0 if the offender has not had any drug use related problems with employment in the past 6 months. Score as 1 for those offenders who have been fired from their job, routinely have been criticized on the quality of their work due to drug use at work, missed work due to drug use, have been caught being under the influence during work, or have been denied a job due to an inability to pass a drug test in the past 6 months. If the offender is unemployed, but has not had a work related problem with drug use, score as a 0.

4.4 Problems with Health due to Drug Use:

This purpose of this item is to determine if the offender's drug use has led to current health problems. Score this item as 0 if the offender has not had any drug use related health problems that can be directly attributed to their drug use. Score as 1 for those offenders who have health related problems directly attributed to illegal drug use. This can include hepatitis, being advised by a physician to stop using, and other health related problems. Do not count injuries associated with drug use or illnesses that cannot be linked to drug use. **Note: This is Question 10 on Self-Report; however, interviewer should probe about other health related issues due to drug use.**

4.5 Ever Diagnosed with Mental Illness/Disorder:

Score as 0 if the offender has never been diagnosed with a mental illness or disorder. Score as a 1 if there is a diagnosis of mental illness. Do not count Attention Deficit Disorder or Attention Deficit Hyperactivity Disorder.

5.0 CRIMINAL LIFESTYLE

This section addresses the criminal lifestyle of the offender, as well as some personality traits that can often lead to criminal behavior. In addition to the specific questions for this item, the interviewer should score this item from the totality of the responses in the interview. Listen for rationalizations, minimizations, and justifications of behavior throughout the interview. There are 7 items in this domain with a total possible score of 11.

Neutralization techniques include:

- *Denial of Responsibility: The offender will state that criminal acts are due to factors beyond their control*
- *Denial of Injury: The offender admits responsibility for the act, but minimizes the extent of harm or denies any harm*
- *Denial of the Victim: The offender reverses the role of offender and victim and blames the victim*
- *“System Bashing”: The offender believes that those who disapprove of the offender’s acts are defined as immoral, hypocritical, or criminal themselves, since, after all, “everyone does it”*
- *Appeal to Higher Loyalties: This will be common with gang members, who believe that they “Live by a different code”*

Other things to listen for include: negative expression about the law (the law is unfair); negative expressions about conventional institutions, values, rules, and procedures, including authority (i.e., work is for others); negative expressions about self-management of behavior, including problem solving ability (i.e., “I have never been good at that”); negative attitudes toward self and one’s ability to achieve through conventional means (i.e., “work is not for me”; “I have never done well in school”); and lack of empathy and sensitivity toward others (i.e., “who did I hurt besides myself?”).

5.1 Criminal Activities:

This item can be scored as a 0, 1, or 2, depending on the offender’s identification and participation in prosocial activities. The purpose of this item is to assess the offender’s participation in activities which have the potential to result in criminal behavior. In addition to the specific questions for this item, the interviewer should score this item from the totality of the responses in the interview. Offenders may indicate that they regularly partake in criminal behavior/activities when they discuss what they do with their peers, in their free time, or when discussing activities they do with their spouse/family. It should be noted this item pertains to activities that are directly related to criminal behavior and not simply non-productive activities (i.e., videogames or watching television). This item is seeking to identify the frequency in which the individual participates in antisocial behavior including but not limited to the following: drug use, drunk driving, selling drugs, illegal gambling, criminal behavior, gang involvement, or

hanging out with other known criminal associates. Score as 0 if the offender has a strong identification and participation in prosocial activities while avoiding antisocial behavior. This can include time and activities with family, work, school, sports, hanging out with prosocial friends, engaging in hobbies, clubs, church, and other prosocial activities. Score as 1 for offenders with a mixture of prosocial and procriminal identification and participation. These are offenders who, while engaging in prosocial activities, like work or family, also engage in behavior that puts them at risk for criminal conduct. Score this item as 2 if the offender has a strong identification and participation with criminal activities. These are offenders who identify with the criminal element, see crime as a way of life, and even if they are engaged in a few prosocial activities these activities will involve some elements of antisocial behavior (drinking, using drugs, fighting, etc).

5.2 Gang Membership:

This item can be scored 0, 1, or 2, depending on the offender's current or past gang involvement. Score as 0 if the offender is not currently or has never been involved in a gang. Score as 1 if the offender has been in a gang previously, but is not currently active. For those offenders who are currently in a gang, score as 2. **Note: It is recommended that both self-reported affiliation and/or official records be used to score this item.**

5.3 Ability to Control Anger:

Score this item as 0 if the offender has the ability to control his or her anger. Indicators would include no criminal record for fighting or other assaultive behaviors, self-report information, demeanor of offender, and other collateral information. Score as 1 if the offender has exhibited poor control over anger. Take into consideration the offender's current (past 6 months) ability to manage his/her anger. *Note: This item can be scored from interview or self-report questionnaire. Question 22 on Self-Report.*

If scored from Self-Report, score 3 to 5 as 0 and 1 or 2 as 1.

5.4 Uses Anger to Intimidate Others:

Score this item as 0 if the offender has does not use anger to coerce, dominate, intimidate, or threaten others. Score as 1 if the offender uses anger to get what he or she wants, threatens others, coerce or dominate others, bully, or uses violence. Indicators include making threats, using violence to harm, or using force or intimidation.

5.5 Acts Impulsively:

Score this item as 0 if the offender has exhibited good impulse control, thinks before acting, and generally plans things out. Score as 1 if the offender sometimes or often acts before thinking, does not plan things out very well, and is generally impulsive. This item should be measured over the past 6 months. *Note: This item can be scored from interview or self-report questionnaire. Question 20 on Self-Report.*

If scored from Self-Report, score 3 to 5 as 0 and 1 or 2 as 1.

5.6 Feels Lack of Control over Events:

This item can be scored as 0, 1, or 2, and examines the degree to which the offender feels control over events affecting their lives. For those offenders who feel like they have control over their lives and events surrounding them, score as 0. A score of 1 should be given to those offenders who express some concern about controlling events in their lives, feel that they cannot always cope with day-to-day activities, but in general are able to handle situations as they appear. A score of 2 should be given to those offenders who do not feel like they have much control over events in their lives and who are having a difficult time coping with day-to-day stress. This item should take into consideration the most recent 6 month period. *Note: This item can be scored from interview or self-report questionnaire. Question 21 on Self-Report.*

If scored from Self-Report, score 4 or 5 as 0, 3 as 1, and 1 or 2 as 2.

5.7 Walks Away from a Fight:

This item can be scored as 0, 1, or 2. This item examines the degree to which the offender is willing to walk away from a fight in the past 6 months. For those offenders who generally walk away from a fight and see themselves as someone who generally avoids fights, score as a 0. Score as 1 those offenders who do not seek fights and generally try and avoid them, but for whom it sometimes finds them. For those offenders who do not avoid fights, see themselves as not usually walking away from a fight, or actively seek it out, score as a 2. *Note: This item can be scored from interview or self-report questionnaire. Question 23 on Self-Report.*

If scored from Self-Report, score 1 or 2 as 0, 3 as 1, and 4 or 5 as 2.

INDIANA RISK ASSESSMENT SYSTEM - PRISON INTAKE TOOL (IRAS-PIT) INTERVIEW GUIDE

Conducting the Interview

The interview guide is designed to assist the assessor in gathering the information necessary to accurately assess the offender. It is important to establish rapport with the offender. While it is recommended that the interview guide be closely followed, the wording of the questions may vary. Here are some tips for conducting the interview:

- Conduct the interview in a relaxed and private environment
- Explain the purpose of the interview and stress the need for honesty and complete answers to questions
- Do not hesitate to use follow-up questions and probe. Examples of follow-up questions:
 - Tell me more. I want to be certain that I understand you.
 - What happened next?
 - Could you explain that further?
 - What do you mean?
 - Can you describe some examples?
 - How did that make you feel?
- Remember what information you are trying to obtain. Develop clear examples and remember there are sometimes differences in perception.
- Remember that the interviewer sets the tone. Be patient and try not to correct or teach
- Whenever possible use open-ended questions where the respondent provides his or her opinion and is able to elaborate. For example, “Tell me more about your relationship with....”
- Avoid double-barreled questions where the respondent is asked a combination of questions:
 - “How is your relationship with your mother and father?”
- Avoid biased questions where the respondent is led in a certain direction:
 - “Your relationship with your mother isn’t bad, is it?”

Also remember that the interview is only one source of information. Official records, the self-report questionnaire, and collateral sources such as family members or other professionals should also be consulted. It is important that to corroborate the offenders’ responses whenever possible.

SECTION 1: GENERAL RISK/NEED DOMAINS

1.0 CRIMINAL HISTORY:

*The first section of the interview is designed to gather information necessary to score the **Criminal History** domain of the tool. While some of this information can be obtained from official records, it is still recommended that the assessor ask the offender about the current offense and past criminal behavior. This information will help score other areas of the assessment, particularly the **Criminal Lifestyle** sections. It is important to corroborate self report information in this area with official records.*

The following items are scored in this area:

- 1.1 Most Serious Arrest Under Age 18: 0=None 1=Yes, Misdemeanor 2=Yes, Felony
- 1.2 Prior Commitment as a Juvenile to Department of Youth Services: 0=No 1=Yes
- 1.3 Number of Prior Adult Felony Convictions: 0=None 1=One or Two 2=Three or More
- 1.4 Adult Arrests for Violent Offense: 0=No 1=Yes
- 1.5 Number of Prior Commitments to Prison: 0=None 1=One 2=Two or More
- 1.6 Received Official Misconduct while Incarcerated as Adult: 0=No 1=Yes
- 1.7. Escape Attempts as Adult Ever: 0=No 1=Yes

Questions for Criminal History Domain:

1a. Were you ever arrested or charged under the age of 18? Yes No

If so, what was the most serious charge? _____

Was it a felony or misdemeanor? Felony Misdemeanant Not Sure

*I want to now ask you about your criminal record as an adult. **The following questions pertain to adult criminal history.***

1b. Were you ever commitment to the Department of Youth Services? Yes No

What was it for? _____

How long were you incarcerated? _____

*I want to now ask you about your criminal record as an adult. **The following questions pertain to adult criminal history.***

1c. Tell me about what happened the day you were arrested. _____

1d. Why did you decide to commit the offense? _____

1e. What part did others play in the offense? _____

1f. What part did drugs or alcohol play? _____

1g. Did you threaten or hurt anyone? _____

1h. Tell me about all the times you have been arrested, were any of them for a violent offense?
(Probe and ask about domestic violence, assault and other offenses.)

1i. How many times have you been convicted of a felony as an adult? _____

1j. Have you ever gotten in trouble for fighting or assaultive type behavior? __ No __ Yes

How many times? _____

What happened? _____

1k. Prior to this commitment, have you ever been sentenced to prison? __ No __ Yes

How many times? _____

1l. Have you ever been sentenced to another type of secure correctional facility like a CBCF?
__ No __ Yes

How many times? _____

1m. While you were incarcerated as an adult, did you ever get written up or punished for a misconduct? (Include current incarceration.) __No __ Yes.

How many times? _____

What was it for? (Probe to see if any misconducts were for violence.) _____

What was the result of the misconduct? _____

How did you feel about the sanction you received? _____

1n. Have you ever attempted escape from a correctional facility? _____

Were you charged with escape? __ Yes __ No

2.0 SCHOOL BEHAVIOR AND EMPLOYMENT:

This domain is designed to gather information about the offender's past school behavior, employment, and use of free time. It is fairly straightforward and most respondents will be forthcoming.

The following items are scored in this area:

2.1 Ever Suspended or Expelled From School: 0=No 1=Yes

2.2. Employed at the time of Arrest: 0=Yes 1=No

2.3 Employed just Prior to Incarceration: 0=Yes, Full-time, Disabled, or Retired 1=Not employed or employed part-time

2.4 Attitudes toward Boss/Employer: 0=Good Relationship 1=Poor Relationship

2.5 Longest Length of Employment Past Two Years: 0=18 months or more 1=1-17 months 2=Less than 1 Month

2.6 Better Use of Time: 0=No, Most Time Structured 1=Yes, Lots of Free Time

Questions for School Behavior and Employment:

I would now like to talk with you about your education and employment:

2a. Tell me about problems you might have had in school? _____

2b. Were you ever suspended or expelled from school? ___ No ___ Yes

What happened? _____

2c. Were you working at the time of your arrest? ___ No ___ Yes

What were you doing? _____

2d. Were you employed just prior to this commitment? ___ No, Why not: _____
___ Yes ___ Full time ___ Part Time ___ Seasonal

What were you doing? _____

Is the work under the table? ___ Yes ___ No

2e. During the past two years how many months did you work? _____

2f. How did you get along with your last boss? _____

___ Good Relationship ___ Poor Relationship

I now want to ask you about how you spend your time?

2g. Walk me through a typical day for you? _____

What about weekends? _____

Do you have a lot of free time? _____

2h. How much free time would you estimate you have each week? _____
(Probe to make sure structured time is pro-social and not just hanging out.)

3.0 Family and Social Support:

The family and social support section examines the familial and social support of the offender. Research has shown that an individual's family and social support can influence the probability of future criminal behavior. Individuals with family and social support systems who are supportive or tolerant of criminal behavior are more likely to engage in criminal behavior. Alternatively, individuals who have a strong family or social support network which condemn criminal activities reduces the likelihood that an individual will engage in future criminal behavior.

The following items are scored in this area:

- 3.1 Current Marital Status: 0=Married or Cohabiting 1=Single, married but separated, divorced, widowed
- 3.2 Living Situation Prior to Incarceration: 0=Significant Other 1=Parent, Friends, Other 2= Alone or Shelter
- 3.3 Stability of Residence: 0=Stable 1=Not Stable
- 3.4 Emotional & Personal Support Available from Family or Others: 0=Strong Support 1=None or Weak Support
- 3.5 Level of Satisfaction with Current Level of Support from Family or Others: 0=Very Satisfied 1=Not Satisfied

Questions for Family and Social Support Domain:

I now want to talk with you about your family and the support you get from them.

3a. Let me ask you about your current marital situation. Are you married? __ No __ Yes

Are you Involved with anyone? (Probe to determine if it is a casual relationship or a significant other.) _____

3b. Who were you living with during the past year? _____

3c. How long have you lived at your current address? _____

Do you get mail there? ___ Yes ___ No

Do you pay rent or a mortgage? ___ Yes ___ No

Do you have your own room? ___ Yes ___ No

3d. How many times have you moved in the past year (do not count incarcerations)? _____

What were the reasons for the moves? (Probe to determine stability of current living situation.) _____

3e. Describe your relationship with your family? _____

How often do you get together with them? _____

How do they feel about you getting in trouble with the law? _____

3f. Has your trouble with the law changed your relationship with your family? _____

Explain? _____

3g. Do you have a supportive relationship with your family? _____

Close friends? _____

If Self Report is used, assessor does not need to ask the following question.

3h. In terms of your family, spouse, and close friends, how would you rate the emotional and personal support you received from them?

Very Strong Support Strong Support Ok Support Weak Support No Support

If Self Report is used, assessor does not need to ask the following question.

3h. How satisfied are you with current level of support you have received from your family or close friends?

Very Satisfied Satisfied Somewhat Satisfied Not Satisfied

4.0 SUBSTANCE USE AND MENTAL HEALTH

This domain asks about substance use and mental health. The questions related to substance use examine the occurrence of substance use in the offender's life and the extent to which its use has caused problems across varying aspects of the offender's life. Substance use can be pervasive in its ability to influence multiple aspects of a person's life including involvement with the legal system, issues with loved ones and friends, health and social service problems, and its interaction with seeking or maintaining employment. For this domain alcohol and drug use are separated.

The following items are scored in this area:

4.1 Longest Period of Abstinence from Alcohol: 0= 6 months or longer 1=Less than 6 months

4.2 Age at First Illegal Drug Use: 0=16 or older 1=Under Age 16

4.3 Problems with Employment due to Drug Use: 0=No 1=Yes

4.4 Problems with Health due to Drug Use: 0=No 1=Yes

4.5 Ever Diagnosed with Mental Illness/Disorder: 0=No 1=Yes

Questions for Substance Abuse and Mental Health Domain:

Let's talk about your substance use.

4a. Have you ever had a problem with alcohol? No Yes

4b. Describe your drinking habits. _____

4c. What is the longest period you have abstained from drinking? _____

4d. Have you ever had a problem with drugs other than alcohol? No Yes

4e. Describe your drug use in the past. _____

4f. How old were you when you first used illegal drugs? _____

4g. Have you ever used drugs while you were at work? _____

4h. Has your drug use ever caused you problems with a job? No Yes

 Have you ever had a boss suggest that you have a problem with drugs? _____

 What happened? _____

4i. Have you ever had any medical problems due to drug use? No Yes

 If yes, please explain: _____

 Have you ever had a doctor told you to either quit or cut down on drug use? _____

4j. If drugs or alcohol are a problem, ask the offender if they are willing to consider going to a program. _____

4k. Have you ever seen a psychiatrist or psychologist? Yes No

 What for? _____

4l. Have you ever been diagnosed for a mental illness? Yes No

 What was the diagnosis? _____

 Are you on medication for the mental illness? Yes No

5.0 CRIMINAL LIFESTYLE

This domain addresses a number of items related to a criminal lifestyle including the peer association of the offender criminal attitudes of the offender as well as some behavioral patterns that can often lead to criminal behavior. In addition to the specific questions for this item, the interviewer should score this item from the totality of the responses in the interview. Listen for rationalizations, minimizations and justifications of behavior throughout the interview.

The Following Items are Scored in this Domain:

5.1 Criminal Activities: 0=Strong identification with pro-social activities
1=Mixture of pro- and antisocial activities
2=Strong identification with criminal activities

5.2 Gang Membership: 0=No, never 1=Yes, but not current 2=Yes, current

5.3 Ability to Control Anger: 0=Poor 1=Good

5.4 Uses Anger to Intimidate Others: 0=No 1=Yes

5.5 Acts Impulsively: 0=No 1=Yes

5.6 Feels Lack of Control over Events: 0=Controls events 1=Sometimes lacks control
2=Generally lacks control

5.7 Walks Away from a Fight: 0=Yes 1=Sometimes 2=Rarely

Question for the Criminal Lifestyle:

I now want to ask you about your friends. This can include family members (e.g., brothers, sisters, cousins) if you consider them to be close friends and regularly hand out with them.

5a. How many close friends would you say you have? _____

How often do you see them? _____

5b. Have any of your close friends been involved in criminal behavior? _____

What kind of things have they been involved in? _____

5c. Have you ever been in a gang? ___ No ___ Yes

When? _____

Are you in one now? ___ No ___ Yes

If yes, probe for more information: _____

5d. I want to ask you about your temper. Tell me about the times you have lost your temper:
What happened? _____

Have you ever hurt anyone because you lost your temper? _____

5e. When you want someone to do something they don't necessarily want to do, how do you get
them to do it? _____

Give some examples: _____

5f. Have you ever been charged with intimidation, threatening others or using violence? _____

Please explain what happened? _____

5g. Have you ever been written up for intimidation, threatening others or using violence? _____

Probe to determine if the offender has a history of using violence or intimidation to get what they want.

5h. Would you describe yourself as someone with a short fuse or a long fuse? _____

If Self Report is used, assessor does not need to ask the following question.

If I asked you to rate yourself on a scale from 1 to 5 with 1 having a short fuse and 5 having a long fuse, how would you rate yourself? _____

_____ Good Control of Temper _____ Some Control _____ Poor Control of Temper

51. Have you ever acted without thinking? ___ Yes ___ No

If yes, tell me about it: _____

Would others describe you as being a spur of the moment person or a planner? _____

If Self Report is used, assessor does not need to ask the following question.

If I asked you to rate yourself on a scale from 1 to 5 with 1 being a spur of the moment person and 5 being a planner how would you rate yourself? _____

Now I want you to think about how things have been going for you recently.

5j. Do you sometimes feel that you have lost control over events in your life? _____

Why? _____

If Self Report is used, assessor does not need to ask the following question.

_____ Feels in Control over Events _____ Sometimes lacks control _____ Generally lacks control

If Self Report is used, assessor does not need to ask the following question.

5k. Would you describe yourself as someone who “Walks Away from a fight,” “Tries to avoid it but it seems to find you,” or “first one in?”

If I asked you to rate yourself on a scale from 1 to 5 with 1 being “walks away,” and 5 being “first one in,” how would you rate yourself? _____

5l. How do you feel about getting some help or participating in programs? _____

SECTION 2: RESPONSIVITY ASSESSMENT

Considering the entire interview as well as official records, the next section is designed to identify special considerations or responsivity factors that might affect the offender's engagement in supervision or programming. For each of the following areas check the boxes that best describes the offender for each of these items.

Low Intelligence*

Physical Handicap (describe) _____

Reading or Writing significantly below normal*

Mental Health Issues (list diagnoses*) _____

Motivation is a Problem* (No Desire to Change/Participate in Programs) Note, some offenders will be motivated to obtain help in some areas but not others. For example, they may want assistance in getting a job, but are not willing to go to substance abuse treatment. This can be important for case planning. Please provide information:

Transportation is a problem

Child Care is a problem

Language is a problem. List offender's native language: _____

Ethnicity or cultural barriers. Describe: _____

History of Abuse/Neglect poses a barrier for offender. Explain: _____

Interpersonal Anxiousness (offender is very nervous and may require program with little confrontation)

Other, Please explain: _____

****If these items are checked it is strongly recommended that further assessment be conducted to determine level or severity.***

SELF-REPORT SURVEY – PRISON INTAKE ASSESSMENT TOOL

Name: _____

Today's Date: _____

The following questions ask about several things in your life, such as education, employment, your family, friends, and your beliefs. Please answer the following questions the best you can. There is no right or wrong answers to these questions. Some questions will be simple yes/no questions and others will ask you to circle a number which corresponds to how much that statement reflects your beliefs or is "true" for you.

1. Age _____

2. Current Marital Status:

- ___ Married (or common law)
- ___ Divorced
- ___ Single
- ___ Widowed
- ___ Separated

3. In school were you ever suspended or expelled? Yes ___ No ___

4. How long did you live at your address prior to incarceration? _____

5. How many addresses changes (do not count incarceration) have you had in the past 12 months? _____

6. Who were you living with prior to incarceration?

- ___ Spouse or Significant Other
- ___ Family
- ___ Friend
- ___ Alone
- ___ On the Street or Shelter
- ___ Other (Please indicate) _____

7. Since you started drinking on a regular basis, what is the longest period of time you have gone without drinking? _____

8. If you have never drank, check box:

9. What was the age you first used illegal drugs (not including alcohol)? _____

10. Have you ever had any health related problems due to illegal drug use?

- Yes ___ (Please explain) _____
- No ___

11. Were you employed at the time of your arrest? Yes ___ No ___

12. If yes, how many hours per week did you work? _____

13. Were you employed just prior to incarceration?

- Full-time
- Part-time
- No, I was on disability
- No, I was retired
- No, I was not employed

14. How many months were you employed in the past two years (prior to incarceration)? _____

15. In your opinion, prior to incarceration did you have a lot of free time? Yes _____ No _____

16. On average, prior to incarceration approximately what percent of your week did you consider free time? _____ %

For the following statements circle the answer that best describes how you feel

17. How well did you get along with your last boss or employer?

Not Well At All Very Well
1 2 3 4 5

18. Please rate the level of emotional and personal support you receive from family and friends.

No Support Great Deal of Support
1 2 3 4 5

19. Please rate how satisfied you are with the level of support you receive from family and friends.

Not Satisfied Very Satisfied
1 2 3 4 5

20. Would others describe you as being a spur of the moment person or a planner?

Spur of the Moment Planner
1 2 3 4 5

21. Lately, I have felt a lack of control over events in my life.

Strongly Agree Strongly Disagree
1 2 3 4 5

22. Do you consider yourself as having a short or long fuse on your temper?

Short Fuse Long Fuse
1 2 3 4 5

23. Would others describe you as someone who walks away from a fight or the first to get into it?

Walks Away First one in
1 2 3 4 5

THANK YOU.

PRISON REENTRY TOOLS

- *PRISON REENTRY TOOL*
- *PRISON REENTRY SCORING GUIDE*
- *PRISON REENTRY INTERVIEW GUIDE*
- *PRISON REENTRY SELF-REPORT*

INDIANA RISK ASSESSMENT SYSTEM – REENTRY TOOL (IRAS-RT)

Name: _____ Date of Assessment: _____

Case#: _____ Name of Assessor: _____

AGE

_____ Age at Time of Assessment
0=24+
1=18-23

1.0 CRIMINAL HISTORY

- 1.1. Most Serious Arrest Under Age 18
 - 0=None
 - 1=Yes, Misdemeanor
 - 2=Yes, Felony
- 1.2. Age at First Arrest or Charge
 - 0=26 or Greater
 - 1=16 to 25
 - 2=15 or Younger
- 1.3. Prior Commitment as a Juvenile to Department of Youth Services
 - 0=No
 - 1=Yes
- 1.4. Current Offense Drug Related
 - 0=No
 - 1=Yes
- 1.5. Number of Prior Adult Felony Convictions
 - 0=None
 - 1=One
 - 2=Two or More
- 1.6. Number of Prior Commitments to Prison
 - 0=None
 - 1=One
 - 2=Two or More
- 1.7. Ever Received Official Infraction for Violence While Incarcerated as an Adult
 - 0=No
 - 1=Yes
- 1.8. Ever Absconded from Community Supervision as an Adult
 - 0=No
 - 1=Yes

Total Score in Age and Criminal History:

2.0 SOCIAL BONDS

- 2.1. Ever Suspended or Expelled from School
0= No
1= Yes
- 2.2. Employed at the Time of Arrest
0=Yes
1=No
- 2.3. Ever Quit a Job Prior to Having Another One
0=No
1=Yes
- 2.4. Marital Status
0=Married or Cohabiting with a Significant Other
1=Single, Married but Separated, Divorced, or Widowed

Total Score in Social Bonds:

3.0 CRIMINAL ATTITUDES AND BEHAVIORAL PATTERNS

- 3.1. Criminal Pride
0= No Pride in Criminal Behavior
1= Some Pride in Criminal Behavior
2= A lot of Pride in Criminal Behavior
- 3.2. Believes that it is Possible to Overcome Past
0= Yes
1= No
- 3.3. Uses Anger to Intimidate Others
0=No
1=Yes
- 3.4. Walks Away from a Fight
0= Yes
1= Sometimes
2= Rarely
- 3.5. Problem Solving Ability
0=Good
1=Poor
- 3.6. Expresses Concern About Others
0= Concerned about Others
1= Limited Concern
2= No Real Concern for Others
- 3.7. Believes in "Do Unto Others Before They Do Unto You"
0= Disagree
1= Sometimes
2= Agree

Total Score for Criminal Attitudes and Behavioral Patterns:

TOTAL SCORE:

Risk Categories for MALES		Risk Categories for FEMALES	
Scores	Rating	Scores	Rating
0-9	Low	0-10	Low
10-15	Moderate	11-14	Moderate
16+	High	15+	High

Professional Override: YES NO

Reason for Override (note: overrides should not be based solely on offense):

Final Level: LOW MODERATE HIGH

Recommendations:

LOW *Females:* Minimum supervision or non-reporting supervision
Males: Regular supervision recommended; programming should be provided for moderate and high need domains

MODERATE Enhanced supervision; programming should be provided for moderate and high need domains

HIGH Enhanced supervision and/or residential placement recommended; programming should be provided for moderate and high need domains

Other Areas of Concern. Check all that Apply:

- Low Intelligence*
- Physical Handicap
- Reading and Writing Limitations*
- Mental Health Issues*
- No Desire to Change/Participate in Programs*
- Transportation
- Child Care
- Language
- Ethnicity
- Cultural Barriers
- History of Abuse/Neglect
- Interpersonal Anxiety
- Other _____

*If these items are checked it is strongly recommended that further assessment be conducted to determine level or severity.

Level of Need

HIGH	7-12	3-4	7-11
MOD	4-6	2	4-6
LOW	0-3	0-1	0-3
	Criminal History	Social Bonds	Criminal Attitudes and Behavioral Patterns

Indiana Risk Assessment System - Reentry Tool (IRAS-RT) Scoring Guide

Center for Criminal Justice Research
University of Cincinnati
School of Criminal Justice
PO Box 210389
Cincinnati, Ohio 45221-0389

Introduction

The following scoring guide is intended to be a user's guide for the Indiana Risk Assessment System - Reentry Tool, which was developed by the Center for Criminal Justice Research at the University of Cincinnati. The scoring guide will give a brief overview of the assessment tool followed by an item-by-item explanation of scoring criteria.

Overview of the Reentry Tool (RT)

The Reentry Tool (RT) is designed to be used with offenders prior to release from prison. The instrument was designed to be administered through file review and a structured interview, although some items can be obtained through a self-report questionnaire. The RT consists of current age of the offender and 18 additional items across three domains: 1) Criminal History, 2) Social Bonds, and 3) Criminal Attitudes and Behavioral Patterns.

As elaborated later in this guide, each item is scored using specific criteria and the scores can range from 0 to 2, depending on the item. The final score is a summed product of each of the individual items creating a range between 0-28. The higher the score, the greater the risk of reoffending. The following cut off scores and failure rates have been established for this instrument:

Risk Categories for MALES			Risk Categories of FEMALE		
Scores	Rating	Percent of Failures	Scores	Rating	Percent of Failures
0-9	Low	21%	0-10	Low	6.5%
10-15	Moderate	50%	11-14	Moderate	44%
16+	High	64%	15+	High	56%

General Assessment Information

Information to score this assessment should be gathered from the interview with the offender, the self report questionnaire, and verified with available criminal history reports or other collateral sources. For this reason, the following terms should be clarified:

- **Arrest vs. Conviction:** Items which ask about arrests are inquiring to times the offender was taken into custody, for a misdemeanor or felony, regardless of the final disposition. There are a variety of reasons why a charge might not become a conviction: dismissal, court diversion in lieu of conviction, etc. For clarification, convictions are findings of guilt by a court which results in a criminal record.
- **Prior:** Items which ask about prior incidents are inquiring about events which occurred *before* the current offense. Current offenses should not be considered when scoring these items. For example, an offender who is being assessed for their third conviction would only have two prior convictions.
- **Current:** Items which ask about current behavior should focus on the last six month period prior to the assessment, unless otherwise stated.
- **Incarceration:** Items which ask about prior incarcerations are inquiring about custodial sentences imposed as punishment upon conviction. Jail incarceration which result from pretrial detention or other non-court issued confinement should not be scored as a yes.

ACCURACY OF INFORMATION

An accurate assessment requires accurate information. There are several sources of information that should be used: official records, offender interview, self-report questionnaire, and collateral sources. Remember the following tips:

- Ask the right questions in the right way – follow the questionnaire.
- Use effective interviewing techniques – probe and allow offender to talk.
- Get the quality and depth of information needed – take your time and do not rush.
- Get collaboration from collateral sources – when in doubt, double check information.
- Score accurately – double check scoring, follow scoring guide, and consult with supervisor if in doubt about an item.

Scoring for the Prison Reentry Tool

Age at Time of Assessment:

Score this item as 0 if the offender is 24 years of age or older at the time of the assessment. Score as 1 if under 24. *Note: This item can be scored from interview or self-report questionnaire. Question 1 on the Self-Report.*

1.0 Criminal History Section

The criminal history section examines aspects of the individual's criminal behavior that correlates with recidivism. It is well documented that past criminal behavior is predictive of future criminal behavior. As such, 8 items are included in this domain, with a total possible score of 12.

1.1 Most Serious Arrest Under Age 18:

Score this item as 0 if the offender does not have a prior arrest or charge under the age of 18, or if arrest/charge was for a status offense. Status offenses include: unruly, runaway, truancy, or other charges that if committed by an adult would not result in charges. Score as 1 if the offender was arrested/charged under the age of 18 for a misdemeanor. Score as 2 if first arrest/charge under 18 was for a felony. Do not count arrests/charge for status offenses. If the age at first arrest is unknown, use the age at first conviction. If youth was arrested/charged but level cannot be determined, score as 1.

1.2 Age at First Arrest or Charge:

This item can be scored 0, 1, or 2, depending on the age of the offender when first arrested or charged. Score this item as 0 if the offender's first arrest or charge was age 26 or older. Score as 1 if the first arrest or charge was between age 16 and 25. Score as 2 if the first arrest or charge was under age 16. Count misdemeanor or felony arrests. Do not count minor traffic arrests or status offenses as a minor.

1.3 Prior Commitment as a Juvenile to Department of Youth Services:

Score this item as 0 if the offender has never been committed to a Department of Youth Services as a juvenile offender, or if unknown. Score as 1 if the offender had a prior commitment to the Department of Youth Services as a juvenile. Only count commitments in which time was served. Do not count suspended commitments. This can include commitment to Ohio or other state's department of youth services.

1.4 Current Offense Drug Related:

Score as 0 if none of the current charges (committing offenses) were drug related. Score as 1 if any of the current charges were drug related. For the purposes of this item, “drug related” includes possession, trafficking, or other drug related offenses as defined by the current criminal code.

1.5 Number of Prior Adult Felony Convictions:

Score this item as 0 if the offender does not have any prior adult felony convictions. Score as a 1 if the offender has one or two felony convictions as an adult. Score as a 2 if the offender has three or more prior adult felony convictions. All felony convictions are tallied regardless of if they were from a single arrest event or multiple events over the course of time. Only the final dispositions are considered for scoring. For example, any prior felony charge which was pled to a misdemeanor would not count. In addition, any alternative to a conviction (i.e., diversion) would not count.

1.6 Number of Prior Commitments to Prison:

This item can be scored as 0, 1, or 2, depending on the number of prior commitments. Score this item as 0 if the offender has never been committed to prison. Score as 1 if the offender has one prior commitment to prison. Score as 2 if the offender has two or more prior commitments. Jails and CBCFs do not count as a prison commitment. The exception would be if the offender was incarcerated in jail for more than 12 months in lieu of prison.

1.7 Ever Received Official Infraction for Violence while Incarcerated as an Adult:

Score this item as 0 if the offender has never received an official misconduct involving violence. Score as 1 if the offender has received an official misconduct for violence while incarcerated. To be scored, this sanction must have resulted in a written behavioral report, a hearing officer finding, or a formal finding from a rule infraction board (RIB). Do not count informal warnings from correctional staff which did not result in a formal sanction. Correctional facility can include jail, CBCF, or prison.

1.8 Ever Absconded from Community Supervision as an Adult:

Score this item as 0 if the offender has never absconded from community supervision as an adult or if the offender has never been under community supervision. Score as 1 if the offender has absconded at least once while under community supervision. Community supervision includes any court or parole board ordered period of supervision. To be scored, the absconsion must have resulted in a written report, a warrant being issued, a hearing officer finding, or a formal finding from a judge, parole board, or supervision agency.

2.0 Education, Employment, and Social Support

This section examines the past school behavior of the offender, as well as employment and current marital status. There are 4 items in this domain with a total possible score of 4.

2.1 Ever Suspended or Expelled from School:

Score as 0 if the offender has never been expelled or suspended from school. Score as 1 if the offender reports having been expelled or suspended from school. Do not count in-school suspensions. These scores should be assessed regardless of the age of the offender. **Note: This item can be scored from interview or self-report questionnaire. Question 2 on Self-Report.**

2.2 Employed at the Time of Arrest:

Score as 0 if the individual was legally employed (either part-time or full-time) at the time of arrest for the current offense. If the individual is disabled or retired, score as 0. Score as 1 if the offender was unemployed at the time of arrest or working under the table. If self-employed, this must be verified. Note: if the work is temporary and the hours are inconsistent from week to week, score as 1. Only employment should be considered for this item; do not take into account education status or taking care of family/household. **Note: This item can be scored from interview or self-report questionnaire. Question 3 on Self-Report.**

2.3 Ever Quit a Job Prior to Having Another One:

Score as 0 if the offender has never quit a job without having another one. Score as 1 if the offender has quit a job without having another one. **Note: This item can be scored from interview or self-report questionnaire. Question 4 on Self-Report.**

2.4 Current Marital Status:

Score this item as 0 if the offender is currently married or remained in a relationship with a person s/he was living with at the time of arrest/incarceration. Score as 1 if the offender is single (separated, divorced, or widowed). **Note: This item can be scored from interview or self-report questionnaire. Question 5 on Self Report.**

3.0 Criminal Attitudes and Behavioral Patterns

This section addresses the criminal attitudes of the offender, as well as some personality traits that can often lead to criminal behavior. In addition to the specific questions for this item, the interviewer should score this item from the totality of the responses in the interview. Listen for rationalizations, minimizations, and justifications of behavior throughout the interview. There are 7 items in this domain with a total possible score of 11.

Neutralization techniques include:

- *Denial of Responsibility: The offender will state that criminal acts are due to factors beyond their control*
- *Denial of Injury: The offender admits responsibility for the act, but minimizes the extent of harm or denies any harm*
- *Denial of the Victim: The offender reverses the role of offender and victim and blames the victim*
- *“System Bashing”: The offender believes that those who disapprove of the offender’s acts are defined as immoral, hypocritical, or criminal themselves, since, after all, “everyone does it”*
- *Appeal to Higher Loyalties: This will be common with gang members, who believe that they “Live by a different code” – the demands of larger society are sacrificed for the demands of more immediate loyalties*

Other things to listen for include: negative expression about the law (the law is unfair); negative expressions about conventional institutions, values, rules, and procedures, including authority (i.e., work is for others); negative attitudes toward self and one’s ability to achieve through conventional means (i.e., “work is not for me”; “I have never done well in school”); and lack of empathy and sensitivity toward others (i.e., “who did I hurt besides myself?”).

3.1 Criminal Pride:

This item can be scored as 0, 1, or 2. This item is designed to gauge the offender’s current pride in criminal behavior. Assessors should listen for justifications and minimizations, bragging about past criminal acts, and other verbal or behavioral indicators. For example, some offenders will claim to be ashamed of behavior, yet they have a history of continuing it. Others will minimize by blaming the victim, and some will say that the victim got what was coming to them. Score this item as 0 if the offender takes no pride in their criminal behavior, shows genuine remorse, and accepts responsibility for their actions. For those offenders who have some justifications or minimizations, score as a 1. These are individuals who, while generally prosocial, may not accept full responsibility for acts, or may see it happening again under the same circumstances. Score as 2 those offenders who attempt to justify or minimize criminal behavior, or who take responsibility but take pride in the behavior (i.e., “I would do it again”; “they had what was coming to them”; “what is wrong with using drugs, it should be legal anyway?”).

3.2 Believes that it is Possible to Overcome the Past:

This item pertains to the offender's belief that s/he can change. Listen for statements such as, "I never seem to have any luck," "I will try, but there isn't much use trying for someone like me," or "what's the use of trying." Score as 0 if the offender believes it is possible to overcome the past. Score as 1 if the offender does not believe it is possible to overcome the past. *Note: This item can be scored from interview or self-report questionnaire. Question 6 on Self-Report.*

If scored from Self-Report, score 1 to 2 as 0 and 3 to 5 as 1.

3.3 Uses Anger to Intimidate Others:

Score this item as 0 if the offender has no history of using anger to coerce, dominate, intimidate or threaten others. Score as 1 if there is history, including current offense of using anger to get what he or she wants, threatening others, coercing or dominating others, bullying, or using violence. Indicators include making threats, using violence to harm, or using force or intimidation.

3.4 Walks Away from a Fight:

This item can be scored as 0, 1, or 2. This item examines the degree to which the offender is willing to walk away from a fight in the past 6 months. For those offenders who generally walk away from a fight and see themselves as someone who generally avoids fights, score as a 0. Score as 1 those offenders who do not seek fights and generally try and avoid them, but for whom it sometimes finds them. For those offenders who do not avoid fights, see themselves as not usually walking away from a fight, or actively seek it out, score as a 2. *Note: This item can be scored from interview or self-report questionnaire. Question 7 on Self-Report.*

If scored from Self-Report, score 1 or 2 as 0, 3 as 1, and 4 or 5 as 2.

3.5 Problem Solving Ability:

Score this item as 0 if the offender usually recognizes or considers alternatives to risky behavior and exhibits good problem solving abilities. Score as 1 if the offender does not usually recognize risky situations, cannot list multiple and realistic alternatives for handling these situations, and does not have good problem solving abilities.

3.6 Expresses Concern about Others

This item can be scored as 0, 1, or 2, and examines the degree to which the offender is generally capable of expressing concern about others. For the purposes of this item, general concern about others is not specific to his or her criminal behavior. For those offenders who express genuine concern about others, score as 0. Score as 1 for those offenders who appear to have only limited concern for others. For those offenders who do not express concern about others, score as 2. *Note: This item can be scored from interview or self-report questionnaire. Question 8 on Self-Report.*

If scored from Self-Report, score 1 or 2 as 0, 3 as 1, and 4 or 5 as 2.

3.7 Believes in “Do unto others BEFORE they do unto you”:

This item called for a rating of the offender of 0, 1, or 2, and examines the degree to which the offender believes in “doing unto others before it is done to them.” Score as 0 those offenders who generally disagree with this statement. For those offenders who are ambivalent, or say it “depends on the situation,” score as 1. Score as 2 those offenders who agree with this statement. *Note: This item can be scored from interview or self-report questionnaire. Question 9 on Self-Report.*

If scored from Self-Report, score 4 or 5 as 0, 3 as 1, and 1 or 2 as 2.

INDIANA RISK ASSESSMENT SYSTEM - REENTRY TOOL (IRAS-RT) INTERVIEW GUIDE

Conducting the Interview

The interview guide is designed to assist the assessor in gathering the information necessary to accurately assess the offender. It is important to establish rapport with the offender. While it is recommended that the interview guide be closely followed, the wording of the questions may vary. Here are some tips for conducting the interview:

- Conduct the interview in a relaxed and private environment
- Explain the purpose of the interview and stress the need for honesty and complete answers to questions
- Do not hesitate to use follow-up questions and probe. Examples of follow-up questions:
 - Tell me more. I want to be certain that I understand you.
 - What happened next?
 - Could you explain that further?
 - What do you mean?
 - Can you describe some examples?
 - How did that make you feel?
- Remember what information you are trying to obtain. Develop clear examples and remember there are sometimes differences in perception.
- Remember that the interviewer sets the tone. Be patient and try not to correct or teach
- Whenever possible use open-ended questions where the respondent provides his or her opinion and is able to elaborate. For example, “Tell me more about your relationship with....”
- Avoid double-barreled questions where the respondent is asked a combination of questions:
 - “How is your relationship with your mother and father?”
- Avoid biased questions where the respondent is led in a certain direction:
 - “Your relationship with your mother isn’t bad, is it?”

Also remember that the interview is only one source of information. Official records, the self-report questionnaire, and collateral sources such as family members or other professionals should also be consulted. It is important that to corroborate the offenders’ responses whenever possible.

SECTION 1: GENERAL RISK/NEED DOMAINS

1.0 CRIMINAL HISTORY:

*The first section of the interview is designed to gather information necessary to score the **Criminal History** domain of the tool. While some of this information can be obtained from official records, it is still recommended that the assessor ask the offender about the current offense and past criminal behavior. This information will help score other areas of the assessment, particularly the **Criminal Attitudes and Behavioral Patterns** section. It is important to corroborate self report information in this area with official records.*

The following items are scored in this area:

- 1.1 Most Serious Arrest or Charge Under Age 18: 0=None 1=Yes, Misdemeanor
2=Yes, Felony
- 1.2 Age at First Arrest or Charge: 0=26+ 1=16-25 2=15 or younger
- 1.3 Prior Commitment as a Juvenile to Department of Youth Services: 0=No 1=Yes
- 1.4 Current Offense Drug Related: 0=No 1=Yes
- 1.5 Number of Prior Adult Felony Convictions: 0=None 1=One 2=Two or More
- 1.6 Number of Prior Adult Commitments to Prison: 0=None 1=One 2=Two or more
- 1.7 Ever Received Official Misconduct for Violence in Prison as Adult: 0=No 1=Yes
- 1.8. Ever Absconded from Community Supervision as an Adult: 0=No 1=Yes

Question about Age:

What is your Age? _____

Questions for Criminal History Domain:

1a. Tell me about the first time you were ever arrested or charged? _____

1b. How old were you when you were first arrested or charged? _____

1c. What was it for? _____

1d. Were you ever commitment to the Department of Youth Services ___ Yes ___ No

What was it for? _____

I want to now ask you about your criminal record as an adult. The following questions pertain to adult criminal history.

1e. Tell me about what happened the day you were arrested. _____

1f. Why did you decide to commit the offense? _____

1g. What part did others play in the offense? _____

1h. Were any of your charges drug related? ___ Yes ___ No

If yes, what were they? _____

1i. How many times have you been convicted of a felony? _____

1j. How many times have you been sentenced to prison? _____

1k. Have you ever been sentenced to another type of secure correctional facility like a CBCF?
___ No ___ Yes

How many times? _____

1l. While you were ever incarcerated did you ever get written up or punished for misconduct?
___ No ___ Yes How many times? _____

What was it for? (Probe to see if any misconducts were for violence.) _____

Did any of the misconducts involve the use of violence? ___ Yes ___ No

1m. What was the result of the misconduct? _____

1n. How did you feel about the sanction you received? _____

1o. Have you ever attempted escape from a correctional facility? _____

Were you ever charged with escape? ___ Yes ___ No

1p. Have you ever been on probation or parole? ___ Yes ___ No

1q. Have you ever absconded from supervision? _____

What happened? _____

2.0 SOCIAL CAPITAL AND SUPPORT:

This domain is designed to gather information about the offender's past school behavior, employment, and marital status. It is fairly straightforward and most respondents will be forthcoming.

The following items are scored in this area:

2.1 Ever Suspended or Expelled From School: 0=No 1=Yes

2.2. Employed at the Time of Arrest: 0=Yes 1=No

2.3 Ever Quit a Job Before Having Another One: 0=No 1=Yes

2.4 Marital Status: 0=Married or cohabitating with a significant other
1=Single, married but separated, divorced, or widowed.

Questions for Social Capital and Support

2a. Tell me about problems you might have had in school? _____

2b. Were you ever suspended or expelled from school? No Yes

What happened? _____

2c. Were you working at the time of your arrest? No Yes

What were you doing? _____

Was the work under the table? Yes No

2d. Have you ever quit a job before having another one? No Yes

Tell me about it: _____

2e. Tell me about your marital situation: Are you married? Yes No

Involved with anyone? _____

Tell me about your marital situation: _____

Who will you live with when released? _____

3.0 CRIMINAL ATTITUDES AND BEHAVIORAL PATTERNS

This section addresses the criminal attitudes of the offender as well as some personality traits that can often lead to criminal behavior. In addition to the specific questions for this item, the interviewer should score this item from the totality of the responses in the interview. Listen for rationalizations, minimizations, and justifications of behavior throughout the interview. There are 7 items in this domain with a total possible score of 11.

The Following Items are Scored in this Domain:

3.1 Criminal Pride: 0=No pride in criminal behavior 1=Some pride 2=A lot of pride

3.2 Believes it is possible to overcome past: 0=Yes 1=No

3.3 Uses anger to intimidate others: 0=No 1=Yes

3.4 Walks Away from a Fight: 0=Yes 1=Sometimes 2=Rarely

3.5 Problem Solving Ability: 0=Good 1=Poor

3.6 Expresses Concern about Other's Misfortunes: 0=Concerned about others
1=Limited concern 2=No real concern for others

3.7 Believes in "Do Unto Others Before They Do Unto You": 0=Disagree 1=Sometimes
2=Agrees

Let's talk again about the trouble you got in that led to your incarceration.

3a. How do you feel about what happened? _____

3b. How has your criminal behavior affected others? (Probe to see if offender recognizes victims, including their own family.) _____

3c. How do you think they feel about what you did? _____

3d. Tell me what you think about what you did? _____

3e. Do you think your sentence was fair? _____

3f. Should you have received a lighter sentence? _____

3g. What do you think contributed to you committing your crime? _____

Rate offender's pride in criminal behavior:

_____ No pride in criminal behavior _____ Some pride _____ A lot of pride

3h. How hard do you think it is for someone with a record to get ahead? _____

3i. Do you believe it is possible to overcome the past? _____

If Self-Report is used, assessor does not need to ask the following question.

If I asked you to rate whether or not you can overcome your past on a scale from 1 to 5, with 1 being "Strongly agree" and 5 being "Strongly Disagree," how would you rate yourself? _____

Strongly Agree	Agree	Undecided	Disagree	Strongly Disagree
1	2	3	4	5

3j. As a general rule, do you worry about other people's misfortunes? _____

If yes, ask for examples: _____

If Self-Report is used, assessor does not need to ask the following question.

If I asked you to rate yourself on whether you often get concerned when you hear about other people's problems on a scale from 1 to 5, with 1 being "Strongly Agree" and 5 being "Strongly Disagree," how would you rate yourself?

Strongly Agree	Agree	Undecided	Disagree	Strongly Disagree
1	2	3	4	5

3k. I want to ask you about your temper. Tell me about the times you have lost your temper: What happened? _____

Have you ever hurt anyone because you lost your temper? _____

Give some examples: _____

3l. When you want someone to do something they don't necessarily want to do, how do you get them to do it? _____

Give some examples: _____

3m. Have you ever been charged with intimidation, threatening others or using violence? _____

Please explain what happened? _____

3n. Have you ever been written up for intimidation, threatening others or using violence? _____

Probe to determine if the offender has a history of using violence or intimidation to get what they want.

3o. Can you describe some high risk situation you might encounter when released? _____

3p. What would be some different ways you might handle these situations? _____

Probe to see if offender can recognize risky situations and multiple and realistic ways to handle them.

3q. What do you think you need to do to stay out of trouble when you get out of prison? _____

3r. What are some of the things you have tried in the past to stay out of trouble with the law? _____

Are there some other things you have yet to try? _____

_____ Good Problem Solving Skills _____ Poor Problem Solving Skills

3s. Would you describe yourself as someone who “Walks Away from a fight,” “Tries to avoid it but it seems to find you,” or “first one in?” _____

If Self Report is used, assessor does not need to ask the following question.

If I asked you to rate yourself on a scale from 1 to 5, with 1 being “walks away,” and 5 being “first one in,” how would you rate yourself? _____

3t. As a general rule, do you think that most people are out for themselves? _____

Even though self report can be used for this question it is recommended that the assessor double check to make sure the offender understood the question.

3u. Have you ever heard the saying, “Do Unto Others ***Before*** They Do Unto You?” In general do you:

___Disagree with statement, ___ depends on the situation, or ___Agree with statement

3v. How do you feel about getting some help or participating in programs? _____

SECTION 2: RESPONSIVITY ASSESSMENT

Considering the entire interview as well as official records, the next section is designed to identify special considerations or responsivity factors that might affect the offender's engagement in supervision or programming. For each of the following areas check the boxes that best describes the offender for each of these items.

Low Intelligence*

Physical Handicap (describe) _____

Reading or Writing significantly below normal*

Mental Health Issues (list diagnoses* _____

Motivation is a Problem* (No Desire to Change/Participate in Programs) Note, some offenders will be motivated to obtain help in some areas but not others. For example, they may want assistance in getting a job, but are not willing to go to substance abuse treatment. This can be important for case planning. Please provide information:

Transportation is a problem

Child Care is a problem

Language is a problem. List offender's native language: _____

Ethnicity or cultural barriers. Describe: _____

History of Abuse/Neglect poses a barrier for offender. Explain: _____

Interpersonal Anxiousness (offender is very nervous and may require program with little confrontation)

Other, Please explain: _____

****If these items are checked it is strongly recommended that further assessment be conducted to determine level or severity.***

SELF-REPORT SURVEY – REENTRY ASSESSMENT TOOL

Name: _____

Today’s Date: _____

The following questions ask about several things in your life, such as education, employment, your family, friends, and your beliefs. Please answer the following questions the best you can. There is no right or wrong answers to these questions. Some questions will be simple yes/no questions and others will ask you to circle a number which corresponds to how much that statement reflects your beliefs or is “true” for you.

1. Age _____

2. In school were you ever suspended or expelled? Yes _____ No _____

3. Were you employed at the time of your arrest? _____

 If yes, how many hours per week did you work? _____

4. Have you ever quit a job without having another one? Yes _____ No _____

5. Current Marital Status:

- ___ Married (or common law)
- ___ Divorced
- ___ Single
- ___ Widowed
- ___ Separated

For the following statements circle the answer that best describes how you feel

6. It is possible to overcome your past.

Strongly agree	Agree	Undecided	Disagree	Strongly disagree
1	2	3	4	5

7. Would others describe you as someone who walks away from a fight or the first to get into it?

Always walks away	Usually walks away	Sometimes walks away	Rarely walks away	First one in
		away		
1	2	3	4	5

8. I’m often concerned when I hear about other people’s problems.

Strongly agree	Agree	Undecided	Disagree	Strongly disagree
1	2	3	4	5

9. How much do you agree with the statement: “Do unto others before they do unto you?”

Strongly agree	Agree	Undecided	Disagree	Strongly disagree
1	2	3	4	5

THANK YOU.